

Schedule 4

Proofs of Claim

**United States Bankruptcy Court/Southern District of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

In Re:

Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11

Case No. 08-13555 (JMP)  
(Jointly Administered)

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.

08-13555 (JMP)

0000042090

Note: This form may not be used to file claims other than those  
based on Lehman Programs Securities as listed on  
<http://www.lehman-docket.com> as of July 17, 2009



Name and address of Creditor: (and name and address where notices should be sent if different from  
Creditor)

Legend Logistics Ltd.

c/o Capitol International LLC and Thomas R. Slome, Esq.

Shop No. 2, G/FL,

Meyer, Suozzi, English & Klein. PC

Emirates Centre - PO Box 41710

990 Stewart Avenue

Karama, Dubai U.A.E.

P.O Box 9194

Attn: Mr. R. Sakhrani

Garden City, New York 11530-9194

Telephone number: 97150 4553832 email: [capitol@emirates.net.ae](mailto:capitol@emirates.net.ae)

☐ Check this box to indicate that this  
claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

See Rider Section 5

☐ Check this box if you are aware that  
anyone else has filed a proof of claim  
relating to your claim. Attach copy of  
statement giving particulars.

Telephone number:

Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ 500,000.00 (Required) Plus Interest; See Rider

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0351261630 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

CA07696

See Rider and Certificate of Holding  
(Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

BNP Paribas Account No. 15512 at Clearstream  
(Swift Code CEDELULLXXX)

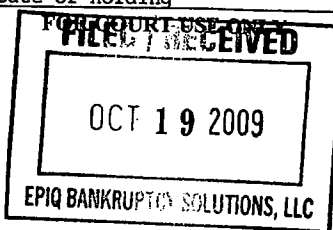
(Required) See Rider and Certificate of Holding

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:

10/10/09

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re:

Chapter 11

**LEHMAN BROTHERS HOLDINGS INC., *et al.*,**

Case No. 08-13555 (JMP)

Debtors.  
-----X

(Jointly Administered)

**RIDER TO LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM**

1. Basis for Claim.

Legend Logistics Ltd. ("Claimant") is the beneficial holder of the securities listed on the attached Certificate of Holdings (the "Certificate"). The Certificate provides the amount, type and ISIN Code for the securities, as well as the account number of the institution through which Claimant holds these securities. A "Blocking Number" is also reflected on the Certificate.

Claimant makes claim against Lehman Brothers Holdings Inc. (the "Debtor") for the principal amount of these securities, which is \$500,000), plus any and all applicable interest or other earnings or charges to which Claimant is entitled as a result of the ownership of these securities, including without limitation interest accruing on the securities before and after the September 15, 2008 date on which the Debtor filed its bankruptcy petition. Interest accrued and unpaid to that date is \$9,270.80.

2. Reservation of Rights.

Claimant reserves the right to amend or supplement this Proof of Claim to reflect any additional claims against the Debtor, to specify additional interest, costs, expenses or other charges or claims incurred by the Claimant and to file additional claims that may be based on the same or additional documents.

3. No Waiver.

This Proof of Claim is filed to protect the Claimant from forfeiture of the Claim. The filing of this Proof of Claim is not: (a) a waiver or release of the Claimant's rights against any person, entity or property; (b) a consent by the Claimant to the jurisdiction of the Bankruptcy Court with respect to the subject matter of the Claim or any objection or other proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to move to withdraw the reference or otherwise to challenge the jurisdiction of this reference or otherwise challenge the jurisdiction of the Bankruptcy Court; (d) an election of remedy; (e) a waiver of any rights or claims the Claimant has against the Debtor or any person or entity with respect to any pending or future litigation or to any matters related to such litigation; or (f) a waiver of past, present or future defaults or events of default.

4. Notices.

All notices to the Claimant should be sent to:

MEYER, SUOZZI, ENGLISH & KLEIN, P.C.  
Attn: Thomas R. Slome, Esq.  
990 Stewart Avenue, Suite 300  
P.O. Box 9194  
Garden City, New York 11530-9194  
Email: tslome@msek.com  
Phone: 516-592-5772

Legend Logistics Ltd.  
c/o Capitol International LLC  
Shop No. 2, G/F1  
Emirates Centre (San Fashion Bldg)  
P.O. Box 41710  
Karama, Dubai, U.A.E.  
Attn: Mr. R. Sakhrani  
Email: capitol@emirates.net.ae  
Phone: 97150 4553832



5. Payment.

Payment on the claim should be made payable to Legend Logistics Ltd. and be sent to:

Legend Logistics Ltd.  
c/o BNP Paribas (Suisse) S.A.  
Selnaustrasse 16  
CH -8022 Zurich  
Switzerland

Capitol International LLC  
P.O. Box 41710  
Dubai  
United Arab Emirates

Geneva, 01.09.2009

**Certificate of holding**

We are pleased to confirm that you, as client of our Bank, held, as per Mr/Ms....., the following position :

**USD 500'000 - 7,5% LEHMAN BROTH TRSY 3/M LIB. 08-2018**

**(ISIN code: XS0351261630)**

Those securities are registered on our account n° 15512 named BNP Paribas/clients assets opened at Clearstream, 42 Avenue J.F. Kennedy, 1855 Luxembourg (CEDELULLXXX).

**The Blocking Number is CA07696**

This information is delivered upon your request and in the context of the filing of Proof in the Lehman Brothers Holding Incorporated's bankruptcy proceeding. It is given to you without any liability and should not be interpreted as an undertaking on our part.

Yours faithfully,

BNP PARIBAS (SUISSE) SA

**MEYER, SUOZZI, ENGLISH & KLEIN, P.C.**

COUNSELORS AT LAW

990 STEWART AVENUE, SUITE 300

P.O. BOX 9194

GARDEN CITY, NEW YORK 11530-9194

516-741-6565

FACSIMILE: 516-741-6706

E-MAIL: meyersuozzi@msek.com

WEBSITE: <http://www.msek.com>

ONE COMMERCE PLAZA

SUITE 1705

ALBANY, NEW YORK 12260

518-465-5551

FACSIMILE: 518-465-2033

1300 CONNECTICUT AVENUE, N.W.

SUITE 600

WASHINGTON, DC 20036

202-955-6340

FACSIMILE: 202-223-0358

1350 BROADWAY, SUITE 501

P.O. BOX 822

NEW YORK, NEW YORK 10018-0026

212-239-4999

FACSIMILE: 212-239-1311

425 BROADHOLLOW ROAD, SUITE 405

P.O. BOX 9064

MELVILLE, NEW YORK 11747-9064

631-249-6565

FACSIMILE: 631-777-6906

**THOMAS R. SLOME**

October 16, 2009

**VIA FEDEX**

Epiq Bankruptcy Solutions, LLC

Attn: Lehman Brothers Holdings Claims Processing

757 Third Avenue, 3<sup>rd</sup> Floor

New York, NY 10017

Re: In re Lehman Brothers Holdings Inc.  
Chapter 11 Case No. 08-13555 (JMP)

Dear Sir/Madam:

Enclosed is an original and one copy of a Lehman Securities Programs Proof of Claim, which we are submitting on behalf of our client, Legend Logistics Ltd.

Please file the original (together with its rider and other attachment) and return the copy, file stamped to show receipt, in the enclosed self-addressed post-paid envelope.

Very truly yours,



Thomas R. Slome

TRS/lg  
encl.



**United States Bankruptcy Court/Southern District of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

In Re:

Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11

Case No. 08-13555 (JMP)  
(Jointly Administered)

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

Filed: USBC - Southern District of New York

Lehman Brothers Holdings Inc., Et Al.

08-13555 (JMP) 0000043481



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

Creditor: SelectInvest Financial Service AG  
1010 Vienna, Kaerntnerstrasse 51/Top 3a

Notices to: Bankhaus Schelhammer & Schattera AG  
1010 Vienna, Goldschmiedgasse 3

Telephone number:

Email Address:

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

Bankhaus Schelhammer & Schattera AG  
Goldschmiedgasse 3, 1010 Vienna, Austria

Telephone number: +43153434 Email Address: bank.office@schelhammer.at

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ ---641,880.00--- (Required)

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0231181222 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

(Clearstream) CA34598 (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

-38121- (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:  
2009,  
Oct. 15

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

FOR COURT USE ONLY

**FILED / RECEIVED**

OCT 21 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

Bankhaus  
Schelhammer & Schattera





Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076  
United States of America  
CERTIFIED MAIL / einschreiben

Vienna, 2009-10-15

Subject: Proofs of Claim based on Lehman Programs Securities  
Adjustment of our transmitted Proof of Claim Form dated 2009-09-28

Dear Sirs and Madams!

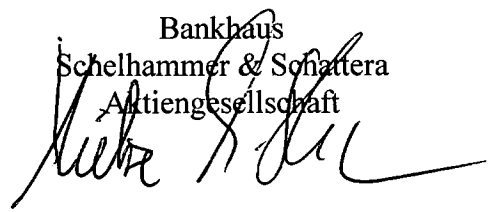
Referring to the subject mentioned above and our letter dated 2009-09-28 we kindly ask you to adjust our already transmitted Proof of Claim Form (dated see above) as we unfortunately have used a wrong blocking number. We hereby attach the "correct", duly completed form with the valid blocking number CA34598 in number 3.

Except the mentioned blocking number, everything else stays the same.

We also ask you to affirm the reception of this letter including the form per e-mail [bank.office@schelhammer.at](mailto:bank.office@schelhammer.at) for our records.

With kind regards,

Bankhaus  
Schelhammer & Schattera  
Aktiengesellschaft



One Attachment  
Proof of Claim Form





**PRIORITY  
PRIORITY**



Leider können wir nicht immer verhindern, dass Sie mehrere  
Briefsendungen an einem Tag von uns erhalten. Das Ausso-  
tieren der Sendungen wäre teurer als das zusätzliche Porto.  
Bitte haben Sie dafür Verständnis.



**BANKHAUS  
Scheelhammer & Schattera**  
A-1011 Wien Postfach 618

**RECEIVED**

**OCT 21 2009**



**Österreich**  
€ **EUROCENT**  
**00350**  
**P901325**  
**161009**  
**1010**

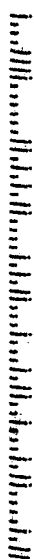
**R**



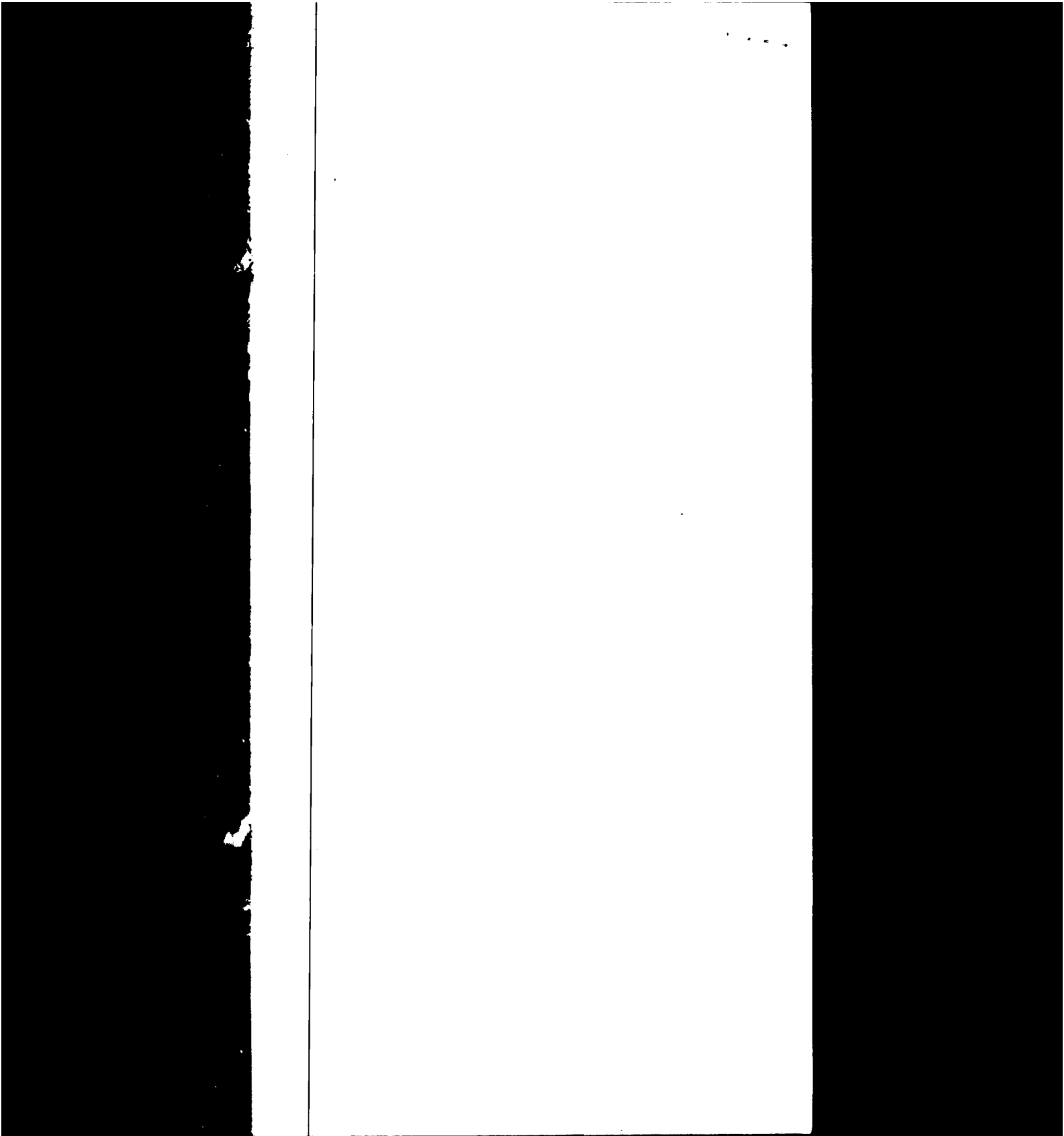
**1014 Wien  
RO 82850279 0 AT**



1015035075 B026



7



**United States Bankruptcy Court/Southern District of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000044272



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

Olli-Pekka Laitinen  
c/o Front Capital Ltd  
Aleksanterinkatu 48A  
FI-00100 HELSINKI  
FINLAND

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Telephone number: +35896822860 Email Address:

pekka.rikala@front.fi

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number:

Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ 495,285 (Required)

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): FI0008903000 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

510910124300000304726 (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

0861597-4 (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:

Oct 16,  
2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

MD Pekka Rikala  
FRONT CAPITAL LTD

FOR COURT USE ONLY

**FILED / RECEIVED**

OCT 22 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

**POWER OF ATTORNEY**

The undersigned, Olli-Pekka Laitinen (150348-059C), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
  - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
  - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (*voorlopige surseance van betaling*) granted by the Amsterdam District Court on September 19, 2008); and/or
  - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the “**Insolvency Proceedings**”) in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 14.10.2008

NOTE HOLDER: \_\_\_\_\_



Olli-Pekka Laitinen  
Print name of person signing

TRUE COPY

To:

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

From:

Front Capital Ltd  
Aleksanterinkatu 48 A  
FI-00100 Helsinki  
Finland

### Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: FI0008903000 (7 Proof of Claims)
2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
4. RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

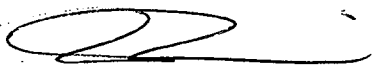
All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

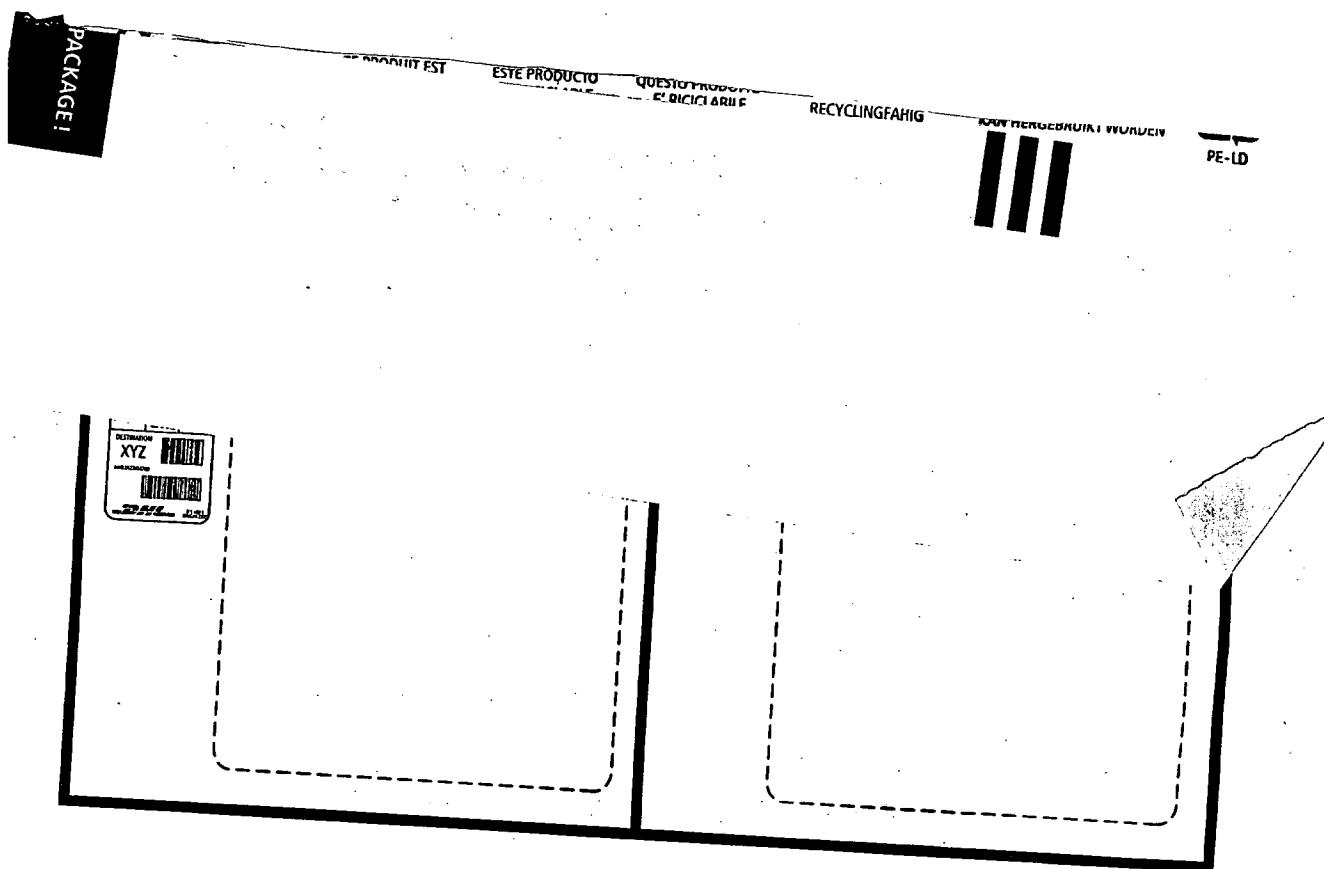
1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
2. ISIN code of the related security
3. Blocking number registered and provided by the custodian bank
4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: [pekka.rikala@front.fi](mailto:pekka.rikala@front.fi), phone: +358 9 6829 8017



Pekka Rikala  
Managing Director  
Front Capital Ltd



Track this shipment via the DHL Web Site: <http://www.dhl.com>

**Shipment Air Waybill**

448 2738 083

**1 Payer account number and insurance details**

Charge to: ☒ Shipper ☐ Receiver ☐ 3rd party

Payer Account No. 160535385

Shipment Insurance see reverse

☐ Yes ☐ No

**2 From (Shipper)**

Shipper's account number: 160535385 Contact name: E. M. Kula

Shipper's reference (up to 32 characters but only first 12 will be shown on invoice):

Company name: Front Capital Oy

Address: Aikankatu 48 A

00100

Postcode/Zip Code (required): 00100 Phone, Fax or E-mail (required): HELSINKI +358968298013

**3 To (Receiver)**

Company name: Lehman Brothers Holdings (Claims)

Process Center

10 Edig Bankruptcy Solutions

PDR Station, P.O. Box 5076

NEW YORK, NY 10150-5076

USA

Postcode/Zip Code (required): 10150 Country: USA

Contact person: 1-800-873-7261

Phone, Fax or E-mail (required): 1-800-873-7261

Signature: [Signature]

**4 Shipment details**

Total number of packages: 1 kg

**5 Full description of contents**

Give content and quantity: Document

**6 Non-Document Shipments**

Attach the original and four copies of a Shipper's VAT/GST number

Declared Value for Customs (as on commercial/proforma invoice)

**TYPE OF EXPORT** ☐ Permanent ☐ Temporary

☐ Receiver ☐ Shipper ☐ Other

**7 Shipper's Signature**

Signature: [Signature]

**8 Services**

Domestic ☐ International ☐ Express ☐ Priority ☐ Next Business Day ☐ Next Morning ☐ Next Afternoon ☐ Next Evening ☐ Next Weekend ☐ Next Holiday ☐ Next Business Day ☐ Next Morning ☐ Next Afternoon ☐ Next Evening ☐ Next Weekend ☐ Next Holiday

**DOX** **DHL** **Origin: HEL**

**10150 New York, United States**


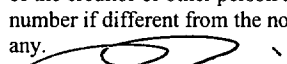
**E300 ZYP-TSS**

**Waybill 4482738083**

**(2L)US10150 + 42000000**

**(J)J001 3038 7157 5004 2704**

**EXTREMELY URGENT** **DO NOT SEND CASH,**  
DHL's liability shall not exceed

|   |  |  |  |
|---|--|--|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |  | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044274  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |  |   |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><b>Jari Vanhatalo</b><br><b>c/o Front Capital Ltd</b><br><b>Aleksanterinkatu 48A</b><br><b>FI-00100 HELSINKI</b><br><b>FINLAND</b>  |  | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br><b>Court Claim Number:</b> _____<br>(If known)<br><br><b>Filed on:</b> _____ |  |
| Telephone number: <b>+35896829800</b> Email Address: <b>pekka.rikala</b>  |  | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.                |  |
| Name and address where payment should be sent (if different from above)   |  |  |  |
| Telephone number: _____ Email Address: _____  |  |  |  |
| <b>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</b><br><b>Amount of Claim: \$ 70755 (Required)</b><br><input type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. |  |  |  |
| <b>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</b><br><b>International Securities Identification Number (ISIN): F10008903000 (Required)</b>   |  |  |  |
| <b>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</b><br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b><br><b>08091009FOND500000196 (Required)</b>  |  |  |  |
| <b>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</b><br><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b><br><b>1730744-7 (Required)</b>   |  |  |  |
| <b>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository:</b> By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.   |  | <b>FOR COURT USE ONLY</b><br><b>FILED / RECEIVED</b><br><b>OCT 22 2009</b><br><b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b>  |  |
| <b>Date:</b><br>Oct 16, 2009  | <b>Signature:</b> The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.<br> <b>MD Pekka Rikala</b><br><b>FRONT CAPITAL LTD</b> |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |  |  |  |

**POWER OF ATTORNEY**

The undersigned, Jari Vanhatalo (310763-019H), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
  - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
  - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (*voorlopige surseance van betaling*) granted by the Amsterdam District Court on September 19, 2008); and/or
  - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the “**Insolvency Proceedings**”) in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

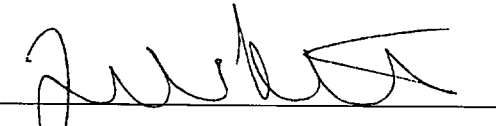
- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 15.10. 2008

NOTE HOLDER:



Jari Vanhatalo

Print name of person signing

True Copy



To:

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

From:

Front Capital Ltd  
Aleksanterinkatu 48 A  
FI-00100 Helsinki  
Finland

**Lehman Securities Programs Proof of Claims**

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: FI0008903000 (7 Proof of Claims)
2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
4. RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

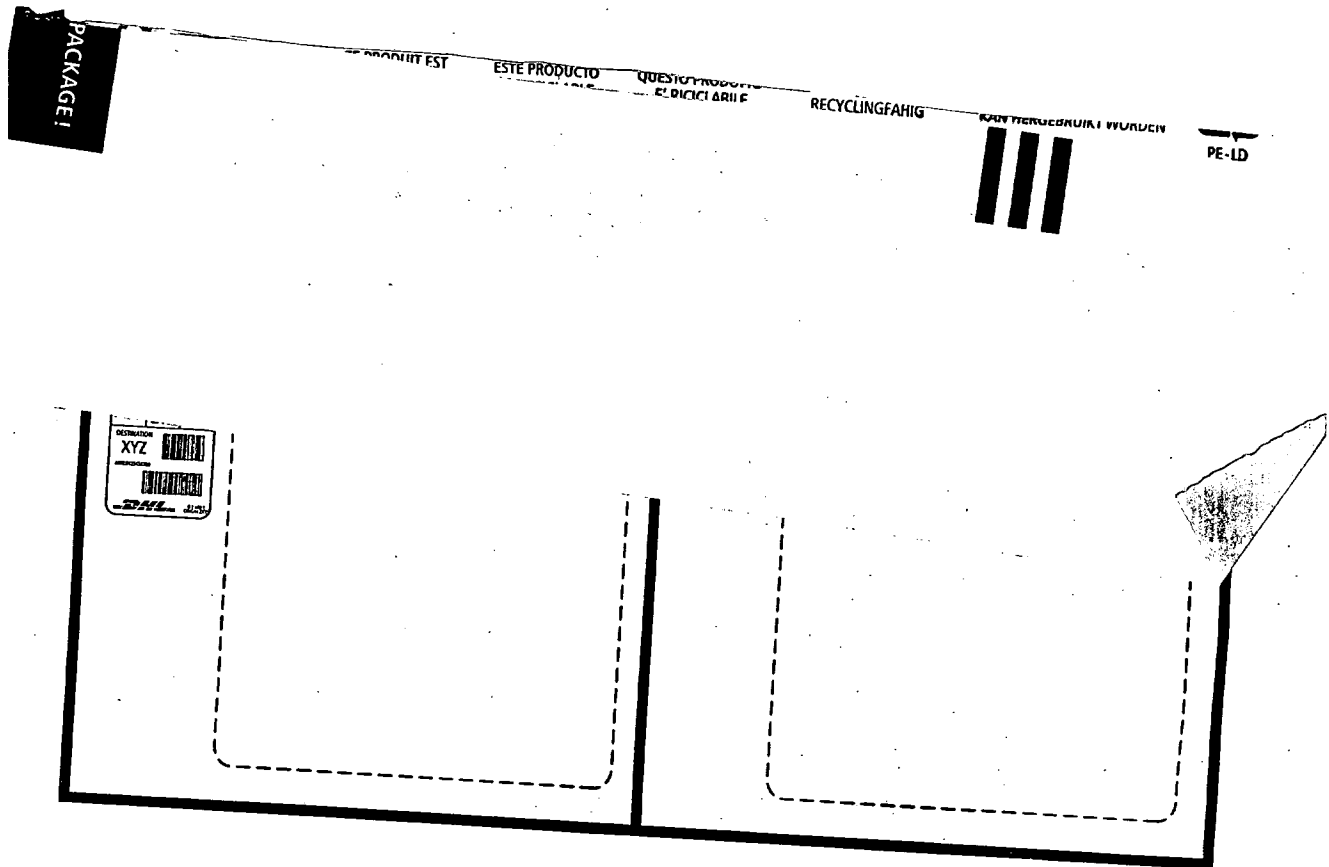
1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
2. ISIN code of the related security
3. Blocking number registered and provided by the custodian bank
4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: [pekka.rikala@front.fi](mailto:pekka.rikala@front.fi), phone: +358 9 6829 8017



Pekka Rikala  
Managing Director  
Front Capital Ltd



Track this shipment via the DHL Web Site: <http://www.dhl.com>

**Shipment Air Waybill**

448 2738 083

**1 Payer account number and insurance details**

Charge to ☒ Shipper ☐ Receiver ☐ 3rd party ☐ Cash ☐ Cheque ☐ Credit Card

Payer Account No. 160535385

Shipment insurance see reverse

☐ Yes ☐ No

**2 From (Shipper)**

Shipper's account number 160535385 Contact name E. Nieuw

Shipper's reference (up to 32 characters but only first 12 will be shown on invoice)

Company name Front Capital NY

Address Nicksoninterstate Y&A  
NY100

Postcode/Zip Code (required) NY100 Phone, Fax or E-mail (required) HELSNET 1358968298013

**3 To (Receiver)**

Receiver's name Process Center

Receiver's address Edig Bankruptcy Solutions  
FDR Station, P.O. Box 5076  
NEW YORK, NY 10150-5076  
USA

Postcode/Zip Code (required) USA Country USA

Contact person 1-422-879-7868

**4 Shipment details**

Total number of packages 1 Total 1

**5 Full description of contents**

Give content and quantity Document

**6 Non-Document Shipments**

Attach the original and four copies of a Shipper's VAT/GST number

Declared Value for Customs (as on commercial/proforma invoice)

TYPE OF EXPORT ☐ Permanent ☐ Temporary

Destination duties/taxes if left blank receive ☐ Receiver ☐ Shipper ☐ Other

**7 Shipper's agreement (Signature)**

Signature [Signature]

**8 Services**

Domestic ☐ International ☐ Express ☐ Next Business Day ☐ Priority ☐ Signature ☐ Insurance ☐ Customs ☐ Tax ☐ Other ☐

**DOX** **DHL** Origin: HEL

10150 New York, United States

**E300 ZYP-TSS**

Ref code 1/1


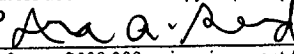
Waybill 4482738083

(2L)US10150 + 42000000

(JJD01 3038 7157 5004 2704)

**EXTREMELY URGENT** DO NOT SEND CASH,  
DHL's liability shall not exceed

subject to future amendment

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|---|---|--|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)   | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044569  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |   |    |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Luzerner Kantonalbank AG<br>Legal & Compliance Department<br>Pilatusstrasse 12<br>CH-6002 Luzern<br>T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch<br>Telephone number: Email Address:  |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: _____ |  |
| Please send all notices also to:<br>Baker & McKenzie LLP<br>att. Ira A. Reid<br>1114 Avenue of the Americas<br>New York, 10036, US<br>T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| Name and address where payment should be sent (if different from above)   |   | Telephone number: Email Address:   |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Amount of Claim: \$ <u>1'686'133.90</u> (Required) plus accrued and accruing interest to the extent permitted by agreement or by law</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |   |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): <u>CH0027120689</u> (Required)</p>   |   |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:<br/><u>Blocking numbers see attachment</u> (Required)</p>  |   |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. <u>SIX SIS AG, Baselstrasse 100, CH-4600 Olten</u></p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:<br/><u>Account number 20090537</u> (Required)</p>  |   |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |   | FOR COURT USE ONLY<br><b>FILED / RECEIVED</b><br><br>OCT 23 2009<br><br>EPIQ BANKRUPTCY SOLUTIONS, LLC   |  |
| Date:<br><br>2009, Oct. 23  | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. <u>Baker &amp; McKenzie LLP</u>  |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |   |  |  |

By: Ira A. Reid, as authorized representative and attorney-in-fact

**Attachment to Proof of Claim ISIN CH0027120689**

| Blocking Reference Number |
|---------------------------|
| 2720854538161510          |
| 4726024554130810          |
| 7173083654130810          |
| 1320142133161510          |
| 9961263054130810          |
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| 4561110454130810          |
| 9849235653130810          |
| 6705820533161510          |
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| 4653401053130810          |
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| 0362944952130810          |
| 7862204152130810          |
| 4020973652130810          |
| 6616882352130810          |


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DATE

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TIME

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| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)   | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044573  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |   |    |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Luzerner Kantonalbank AG<br>Legal & Compliance Department<br>Pilatusstrasse 12<br>CH-6002 Luzern<br>T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch<br>Telephone number: Email Address:  |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: _____ |  |
| Please send all notices also to:<br>Baker & McKenzie LLP<br>att. Ira A. Reid<br>1114 Avenue of the Americas<br>New York, 10036, US<br>T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| Name and address where payment should be sent (if different from above)<br><br>Telephone number: Email Address:   |   |  |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Amount of Claim: \$ <u>7'136'224.35</u> (Required) plus accrued and accruing interest to the extent permitted by agreement or by law</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |   |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): <u>CH0027120648</u> (Required)</p>   |   |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:<br/>Blocking numbers see attachment (Required)</p>   |   |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. SIX SIS AG, Baselstrasse 100, CH-4600 Olten</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:<br/>Account number 20090537 (Required)</p>  |   |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |   | <b>FOR COURT USE ONLY</b><br><b>FILED / RECEIVED</b><br><br>OCT 23 2009<br><br>EPIQ BANKRUPTCY SOLUTIONS, LLC  |  |
| Date:<br>2009, Oct. 23  | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP <i>Ira A. Reid</i> |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |   |  |  |

By: Ira A. Reid, as authorized representative and attorney-in-fact

**Attachment to Proof of Claim ISIN CH0027120648**

| <b>Blocking reference Numbers</b> |
|-----------------------------------|
| 2788974031120910                  |
| 6789062431120910                  |
| 3668564840141910                  |
| 1608843840141910                  |
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| 3915605527120910                  |
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| 6720831826120910                  |
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**Attachment to Proof of Claim ISIN CH0027120648**

| <b>Blocking reference Numbers</b> |
|-----------------------------------|
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| 9385140341110910                  |
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| 8463533940110910                  |
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| 1333241135110910                  |
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| 4962172216110910                  |
| 4503990716110910                  |
| 6795154515110910                  |
| 3904505714110910                  |
| 1483860814110910                  |
| 6533824613110910                  |
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**Attachment to Proof of Claim ISIN CH0027120648**

| <b>Blocking reference Numbers</b> |
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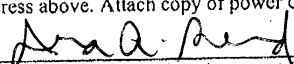
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| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |  | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044583  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |  | <br>FOR COURT USE ONLY   |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Luzerner Kantonalbank AG<br>Legal & Compliance Department<br>Pilatusstrasse 12<br>CH-6002 Luzern<br>T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch<br>Telephone number: Email Address:  |  | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: _____ |  |
| Please send all notices also to:<br>Baker & McKenzie LLP<br>att. Ira A. Reid<br>1114 Avenue of the Americas<br>New York, 10036, US<br>T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  |  | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| Name and address where payment should be sent (if different from above)<br><br>Telephone number: Email Address:   |  |  |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Amount of Claim: \$ <u>3,642,006.60</u> (Required) plus accrued and accruing interest to the extent permitted by agreement or by law</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |  |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): <u>XS0269969027</u> (Required)</p>   |  |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:<br/>Blocking numbers see attachment (Required)</p>   |  |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:<br/>Account number 94218 (Required)</p>  |  |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |  | <b>FOR COURT USE ONLY</b><br><b>FILED / RECEIVED</b><br><br>OCT 23 2009<br><br>EPIQ BANKRUPTCY SOLUTIONS, LLC  |  |
| Date:<br>2009, Oct. 23  | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP  |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |  |  |  |

By: Ira A. Reid, as authorized representative and attorney-in-fact

**Attachment to Proof of Claim ISIN XS0269969027**

| <b>Blocking Reference Number</b> |
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**Attachment to Proof of Claim ISIN XS0269969027**

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| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  |   | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  |  |
| Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP)      0000044605  |   |  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |   | <br>THIS SPACE IS FOR COURT USE ONLY   |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Luzerner Kantonalbank AG<br>Legal & Compliance Department<br>Pilatusstrasse 12<br>CH-6002 Luzern<br>T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch<br>Telephone number:      Email Address:   |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br><b>Court Claim Number:</b> _____<br>(If known)<br><br><b>Filed on:</b> _____<br>T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com   |  |
| Name and address where payment should be sent (if different from above)<br><br>Telephone number:      Email Address:  |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.<br><b>Amount of Claim: \$ 3'796'997.40 (Required) plus accrued and accruing interest to the extent permitted by agreement or by law</b> |   |  |  |
| <input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.   |   |  |  |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.<br><b>International Securities Identification Number (ISIN): XS0302350888 (Required)</b>  |   |  |  |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.<br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b><br><b>Blocking numbers see attachment (Required)</b>                                     |   |  |  |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. <b>Euroclear Bank S.A., BE-Brussels</b><br><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b><br><b>Account number 94218 (Required)</b>   |   |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |   | <div style="border: 2px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> <b>FOR COURT USE ONLY</b><br/> <b>FILED / RECEIVED</b><br/> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> <b>OCT 23 2009</b> </div> <b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b> </div> |  |
| <b>Date.</b><br><br>2009, Oct. 23   | <b>Signature:</b> The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. <b>Baker &amp; McKenzie LLP</b> <i>Ira A. Reid</i> |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |   |  |  |

By: Ira A. Reid, as authorized representative and attorney-in-fact

**Attachment to Proof of Claim ISIN XS0302350888**

| <b>Blocking Reference Number</b> |
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**Attachment to Proof of Claim ISIN XS0302350888**

| <b>Blocking Reference Number</b> |
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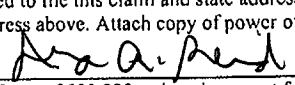
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| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)   | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044610  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |   |    |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Luzerner Kantonalbank AG<br>Legal & Compliance Department<br>Pilatusstrasse 12<br>CH-6002 Luzern<br>T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch<br>Telephone number: Email Address:  |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: _____   |  |
| Name and address where payment should be sent (if different from above)<br><br>Telephone number: Email Address:   |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Amount of Claim: \$ <u>4'328'264.52</u> (Required) plus accrued and accruing interest to the extent permitted by agreement or by law</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |   |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): <u>XS0274443422</u> (Required)</p>   |   |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:<br/>Blocking numbers see attachment (Required)</p>   |   |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:<br/>Account number 94218 (Required)</p>  |   |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |   | <div style="border: 1px solid black; padding: 5px;"><p style="text-align: center; font-weight: bold;">FOR COURT USE ONLY<br/>FILED / RECEIVED</p><p style="text-align: center; font-size: 1.2em;">OCT 23 2009</p><p style="text-align: center;">EPIQ BANKRUPTCY SOLUTIONS, LLC</p></div> |  |
| Date:<br>2009, Oct. 23  | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. <u>Baker &amp; McKenzie LLP</u>  |  |  |
| <i>Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571</i>   |   |  |  |

By: Ira A. Reid, as authorized representative and attorney-in-fact

**Attachment to Proof of Claim ISIN XS0274443422**

| Blocking Reference Number |
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**Attachment to Proof of Claim ISIN XS0274443422**


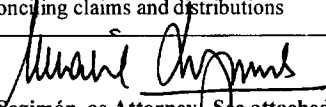
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| United States Bankruptcy Court/Southern District of New York<br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
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| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.   | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)   | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000044722  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009   |   | <br><b>THIS SPACE IS FOR COURT USE ONLY</b>  |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Inversiones Nhet, S.L.<br>Att: José Luis Navarro Iglesias<br>C/ San Francisco de Sales 31<br>28003 Madrid, Spain<br><br>Telephone number: +34 91 533 48 00 Email Address:  |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: _____ |  |
| Name and address where payment should be sent (if different from above)<br><br>Account number: ES1000865146990010005725<br>Banco Banif, S.A.<br>C/ Ortega y Gasset 1, 28006 Madrid, Spain<br><br>Telephone number: +34 91 520 86 50 Email Address:   |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p><b>Amount of Claim: \$ Unliquidated - see attached Addendum to Proof of Claim.</b></p> <p><input type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |   |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p><b>International Securities Identification Number (ISIN): See attached Addendum to Proof of Claim.</b></p>   |   |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b></p> <p><b>See attached Addendum to Proof of Claim.</b></p>   |   |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b></p> <p><b>See attached Addendum to Proof of Claim.</b></p>  |   |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions  |   | <div>FOR COURT USE ONLY</div> <div>FILED / RECEIVED</div> <div>OCT 23 2009</div> <div>EPIQ BANKRUPTCY SOLUTIONS, LLC</div>   |  |
| Date:<br>October 15,<br>2009.  | Signature: <br>Ms. Maria Segimon, as Attorney See attached Addendum to Proof of Claim for additional information |  |  |

*Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571*

**INSTRUCTIONS FOR PROOF OF CLAIM FORM**

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

**Creditor's Name and Address:**

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

**Date and Signature:**

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

**DEFINITIONS**

**Debtor**

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

**Creditor**

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

**Claim**

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

**Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

**Lehman Brothers Holdings Claims Processing**  
**c/o Epiq Bankruptcy Solutions, LLC**  
**FDR Station, PO Box 5076**  
**New York, NY 10150- 5076**

**Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

**INFORMATION**

**Acknowledgment of Filing of Claim**

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

**Offers to Purchase a Claim**

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.



ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the Debtor), Case No. 08-13555 (JMP), by Inversiones Nhet, S.L. ("Claimant").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L.  
Paseo de la Castellana, 35-2  
28046 Madrid, Spain  
Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US)  
1251 Avenue of the Americas  
New York, New York 10020  
Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

| ISIN         | Blocking Reference # | Depository participant account # |
|--------------|----------------------|----------------------------------|
| XS0258901759 | 6036177              | EUROCLEAR # 22829                |
| XS0286018758 | 6036178              | EUROCLEAR # 22829                |

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at CL SAN FRANCISCO DE SALES 31 28003 MADRID, acting on behalf of INVERSIONES NHET S.L. (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- 200*
- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
  - (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
  - (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./D<sup>a</sup>. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en CL SAN FRANCISCO DE SALES 31 28003 MADRID, actuando en nombre de INVERSIONES NHET S.L. (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D<sup>a</sup>. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:

- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

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- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.

- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of

- (a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones, demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, pedir suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.

- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los

approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.


MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

INVERSIONES NHET S.L.  
THE GRANTOR

INVERSIONES NHET S.L.  
EL OTORGANTE

(signature/s)



JOSE LUIS NAVARRO IGLESIAS

(firma/s)



JOSE LUIS NAVARRO IGLESIAS

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DATE

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TIME

United States Bankruptcy Court/Southern District of New York  
Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

## LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000044803



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

THIS SPACE IS FOR COURT USE ONLY

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

José Luis Navarro Iglesias  
C/ San Francisco de Sales 31  
28003 Madrid, Spain

Telephone number: +34 91 533 48 00 Email Address:

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

Account number: ES1500865146970010005733  
Banco Banif, S.A.  
C/ Ortega y Gasset 1, 28006 Madrid, Spain

Telephone number: +34 91 520 86 50 Email Address:

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ Unliquidated - see attached Addendum to Proof of Claim.

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): See attached Addendum to Proof of Claim.

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

See attached Addendum to Proof of Claim.

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

See attached Addendum to Proof of Claim.

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions

Date:  
October 15,  
2009.

Signature:   
Ms. Maria Segimon, as Attorney See attached Addendum to Proof of Claim for additional information.

FOR COURT USE ONLY

FILED / RECEIVED

OCT 23 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

**Creditor's Name and Address:**

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

**Date and Signature:**

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS

**Debtor**

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

**Creditor**

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

**Claim**

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

**Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

**Lehman Brothers Holdings Claims Processing**

c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150- 5076

**Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

#### INFORMATION

**Acknowledgment of Filing of Claim**

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

**Offers to Purchase a Claim**

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.



ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the "Debtor"), Case No. 08-13555 (JMP), by José Luis Navarro Iglesias ("Claimant").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L.  
Paseo de la Castellana, 35-2  
28046 Madrid, Spain  
Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US)  
1251 Avenue of the Americas  
New York, New York 10020  
Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

| ISIN         | Blocking Reference # | Depository participant account # |
|--------------|----------------------|----------------------------------|
| XS0258901759 | 6036176              | EUROCLEAR # 22829                |

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS ,  
of legal age, of spanish nationality, and holder of  
DNI passport of his nationality number  
00549435B, in force,

domiciled at PS SAN FRANCISCO DE SALES  
31, 28003 MADRID, acting in his own name and  
interest (the Grantor).

Whereas, the Grantor grants a special power of  
attorney, but as broad as required by law, in  
favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal  
age, of Spanish nationality, domiciled in  
Madrid, Paseo de la Castellana, no. 35, 2nd  
floor, and holder of Spanish Identity Card  
number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal  
age, of Spanish nationality, domiciled in  
Madrid, Paseo de la Castellana, no. 35, 2nd  
floor, and holder of Spanish Identity Card  
number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of  
Spanish nationality, domiciled in Madrid,  
Paseo de la Castellana, no. 35, 2nd floor, and  
holder of Spanish Identity Card number  
11849665-L, in force,

El/Los abajo firmante/s,

D./Dª. JOSE LUIS NAVARRO IGLESIAS,  
mayor de edad, de nacionalidad española, y con  
DNI pasaporte de su nacionalidad número  
00549435B, en vigor,

con domicilio en PS SAN FRANCISCO DE  
SALES 31, 28003 MADRID, actuando en su  
propio nombre y derecho (el Poderdante).

El Poderdante otorga un poder especial, pero tan  
amplio como en Derecho sea necesario a favor  
de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de  
edad, de nacionalidad española, con  
domicilio a estos efectos en Madrid, Paseo  
de la Castellana, nº 35, 2ª planta, y provisto  
de D.N.I. nº 50708807-V, en vigor;
- (ii) Dª. María Segimón de Manzanos, mayor  
de edad, de nacionalidad española, con  
domicilio a estos efectos en Madrid, Paseo  
de la Castellana, nº 35, 2ª planta, y provisto  
de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad,  
de nacionalidad española, con domicilio a  
estos efectos en Madrid, Paseo de la  
Castellana, nº 35, 2ª planta, y provisto de  
D.N.I. nº 11849665-L, en vigor,

in order that any of each of the aforementioned  
persons, jointly and severally, may, represent or  
designate another person to represent the Grantor  
in respect of Chapter 11 bankruptcy filed in the  
United States by Lehman Brothers Holdings Inc  
("LBHI") and its affiliates as well as in any  
insolvency or bankruptcy proceeding of LBHI's  
non-U.S. Subsidiaries (the "Bankruptcy"), and in  
particular, and without limitation, to carry out the  
following acts in relation to the Bankruptcy:

para que cada uno de ellos, indistintamente y  
solidariamente, pueda representar o designar a  
otra persona para representar al Poderdante en el  
procedimiento de quiebra bajo el Capítulo 11 en  
los Estados Unidos de América solicitado por  
Lehman Brothers Holdings Inc. ("LBHI") y  
determinadas sociedades filiales, así como en  
cualesquiera otros procedimientos de insolvencia  
o quiebra de las filiales de LBHI no domiciliadas  
en los Estados Unidos de América (la "Quiebra")  
y, en concreto, y sin que tenga carácter limitativo,  
para que pueda llevar a cabo las siguientes  
actuaciones en relación con la Quiebra:

- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.
- (a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones, demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, pedir suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar

expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

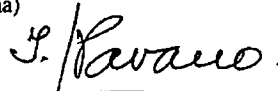
Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

(signature)

(firma)



Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS  
THE GRANTOR

D./D<sup>a</sup> JOSE LUIS NAVARRO IGLESIAS  
EL OTORGANTE

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**United States Bankruptcy Court/Southern District of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000045214

Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009



Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

Theodoor Gilissen Global Custody N.V.  
Keizersgracht 617  
1017 DS AMSTERDAM, The Netherlands

Telephone number: +31 20 5276000 Email Address: [tgservices@gilissen.nl](mailto:tgservices@gilissen.nl)

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number:

Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: See attached (Required)

☒ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): See attached (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

See attached (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

See attached (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

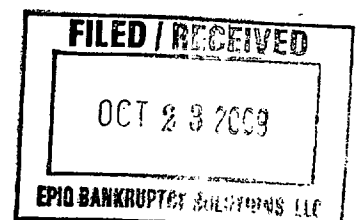
Date:  
October  
21<sup>st</sup>, 2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

Mister Drs. J. G.C.D. Versteeg

Mister R. van Barneveld

FOR COURT USE ONLY



UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X

In re:

Chapter 11

Lehman Brothers Holdings Inc., *et al.*

Case No. 08-13555 (JMP)

(Jointly Administered)

Debtors.

-----X

**ANNEX TO PROOF OF CLAIM OF  
THEODOOR GILISSEN GLOBAL CUSTODY N.V.**

1. Claimant. Theodoor Gilissen Global Custody N.V., custodian and holder of account 51/267148/29 with KBL European Private Bankers S.A., Luxemburg, holder of account 13048 with Clearstream Luxemburg (the "**Claimant**"), hereby files the accompanying proof of claim (the "**Proof of Claim**") against Lehman Brothers Holdings Inc. (the "**Debtor**"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "**Petition Date**"), as described more fully below.

2. Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "**Obligor**") and guaranteed by the Debtor (each a "**Program Security**" and, together, the "**Program Securities**") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <http://www.lehman-docket.com> under the heading "Key Documents." The International Securities Identification Number ("**ISIN**") identifying each Program Security, along with the Clearstream blocking reference number and the Clearstream account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 4 attached hereto. The Program Securities



have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

3. Claim. The Claimant is the holder of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 114,469,619.48 plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "**Claim**"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.

4. Security Interests and Priority Status. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.

5. Claims, Counterclaims, Setoffs and Defenses. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.

6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

7. Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

**EXHIBIT 1**

| ISIN         | Clearstream blocking<br>reference number | Clearstream<br>account number<br>Account in the name of<br>KBL European Private<br>Bankers S.A., Luxembourg.<br>Securities held on behalf of<br>custodian Theodoor Gilissen<br>Global Custody N.V.,<br>Amsterdam, Holland | Nominal Amount<br>(EUR) | Nominal Amount<br>(USD)* |
|--------------|--|---|-------------------------|--------------------------|
| XS0346707903 | CA69354                                  | 13048   | 641,000.00              | 910,284.10               |
| XS0295760093 | CA69339                                  | 13048   | 1,587,000.00            | 2,253,698.70             |
| XS0355133454 | CA69358                                  | 13048   | 3,162,000.00            | 4,490,356.20             |
| XS0379217184 | CA69336                                  | 13048   | 20,000.00               | 28,402.00                |
| XS0273084656 | CA69337                                  | 13048   | 554,000.00              | 786,735.40               |
| XS0332049815 | CA69340                                  | 13048   | 1,715,000.00            | 2,435,471.50             |
| XS0285922133 | CA69353                                  | 13048   | 3,836,000.00            | 5,447,503.60             |
| XS0375356648 | CA69345                                  | 13048   | 255,000.00              | 362,125.50               |
| XS0305948860 | CA69344                                  | 13048   | 4,825,000.00            | 6,851,982.50             |
| XS0314067140 | CA76209                                  | 13048   | 1,499,000.00            | 2,128,729.90             |
| XS0317240157 | CA69352                                  | 13048   | 2,325,000.00            | 3,301,732.50             |
| XS0339810078 | CA69343                                  | 13048   | 1,177,000.00            | 1,671,457.70             |
| XS0287672694 | CA69355                                  | 13048   | 5,467,000.00            | 7,763,686.70             |
| XS0296281735 | CA69359                                  | 13048   | 8,970,000.00            | 12,738,297.00            |
| XS0332050078 | CA69338                                  | 13048   | 1,357,000.00            | 1,927,075.70             |
| XS0377288450 | CA69360                                  | 13048   | 14,000.00               | 19,881.40                |
| XS0368669007 | CA69357                                  | 13048   | 3,543,000.00            | 5,031,414.30             |
| XS0263715467 | CA69346                                  | 13048   | 10,430,000.00           | 14,811,643.00            |
| XS0276441044 | CA69347                                  | 13048   | 11,284,000.00           | 16,024,408.40            |
| XS0327236757 | CA69350                                  | 13048   | 5,483,000.00            | 7,786,408.30             |
| XS0296067142 | CA69356                                  | 13048   | 996,000.00              | 1,414,419.60             |
| XS0365839835 | CA69349                                  | 13048   | 1,030,000.00            | 1,462,703.00             |
| XS0332049229 | CA69342                                  | 13048   | 1,597,000.00            | 2,267,899.70             |
| XS0373219582 | CA69348                                  | 13048   | 755,000.00              | 1,072,175.50             |
| XS0349530823 | CA69341                                  | 13048   | 200,000.00              | 284,020.00               |
| XS0346080590 | CA69351                                  | 13048   | 6,196,000.00            | 8,798,939.60             |

**TOTALS**

**78,918,000.00 112,071,451.80**

\* Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

**EXHIBIT 2**

| ISIN         | Nominal Amount<br>(EUR) | Accrued<br>Interest<br>(EUR) | Total<br>(EUR) | Nominal Amount<br>(USD)* | Accrued<br>Interest<br>(USD)* | Total<br>(USD)* |
|--------------|-------------------------|------------------------------|----------------|--------------------------|-------------------------------|-----------------|
| XS0346707903 | 641,000.00              | -                            | 641,000.00     | 910,284.10               | -                             | 910,284.10      |
| XS0295760093 | 1,587,000.00            | -                            | 1,587,000.00   | 2,253,698.70             | -                             | 2,253,698.70    |
| XS0355133454 | 3,162,000.00            | -                            | 3,162,000.00   | 4,490,356.20             | -                             | 4,490,356.20    |
| XS0379217184 | 20,000.00               | -                            | 20,000.00      | 28,402.00                | -                             | 28,402.00       |
| XS0273084656 | 554,000.00              | -                            | 554,000.00     | 786,735.40               | -                             | 786,735.40      |
| XS0332049815 | 1,715,000.00            | -                            | 1,715,000.00   | 2,435,471.50             | -                             | 2,435,471.50    |
| XS0285922133 | 3,836,000.00            | -                            | 3,836,000.00   | 5,447,503.60             | -                             | 5,447,503.60    |
| XS0375356648 | 255,000.00              | -                            | 255,000.00     | 362,125.50               | -                             | 362,125.50      |
| XS0305948860 | 4,825,000.00            | -                            | 4,825,000.00   | 6,851,982.50             | -                             | 6,851,982.50    |
| XS0314067140 | 1,499,000.00            | -                            | 1,499,000.00   | 2,128,729.90             | -                             | 2,128,729.90    |
| XS0317240157 | 2,325,000.00            | -                            | 2,325,000.00   | 3,301,732.50             | -                             | 3,301,732.50    |
| XS0339810078 | 1,177,000.00            | -                            | 1,177,000.00   | 1,671,457.70             | -                             | 1,671,457.70    |
| XS0287672694 | 5,467,000.00            | -                            | 5,467,000.00   | 7,763,686.70             | -                             | 7,763,686.70    |
| XS0296281735 | 8,970,000.00            | -                            | 8,970,000.00   | 12,738,297.00            | -                             | 12,738,297.00   |
| XS0332050078 | 1,357,000.00            | -                            | 1,357,000.00   | 1,927,075.70             | -                             | 1,927,075.70    |
| XS0377288450 | 14,000.00               | -                            | 14,000.00      | 19,881.40                | -                             | 19,881.40       |
| XS0368669007 | 3,543,000.00            | 202,457.14                   | 3,745,457.14   | 5,031,414.30             | 287,509.39                    | 5,318,923.69    |
| XS0263715467 | 10,430,000.00           | 763,533.15                   | 11,193,533.15  | 14,811,643.00            | 1,084,293.43                  | 15,895,936.43   |
| XS0276441044 | 11,284,000.00           | 519,373.15                   | 11,803,373.15  | 16,024,408.40            | 737,561.81                    | 16,761,970.21   |
| XS0327236757 | 5,483,000.00            | -                            | 5,483,000.00   | 7,786,408.30             | -                             | 7,786,408.30    |
| XS0296067142 | 996,000.00              | -                            | 996,000.00     | 1,414,419.60             | -                             | 1,414,419.60    |
| XS0365839835 | 1,030,000.00            | -                            | 1,030,000.00   | 1,462,703.00             | -                             | 1,462,703.00    |
| XS0332049229 | 1,597,000.00            | 33,843.27                    | 1,630,843.27   | 2,267,899.70             | 48,060.83                     | 2,315,960.53    |
| XS0373219582 | 755,000.00              | 15,999.79                    | 770,999.79     | 1,072,175.50             | 22,721.31                     | 1,094,896.81    |
| XS0349530823 | 200,000.00              | 3,463.01                     | 203,463.01     | 284,020.00               | 4,917.83                      | 288,937.83      |
| XS0346080590 | 6,196,000.00            | 150,062.03                   | 6,346,062.03   | 8,798,939.60             | 213,103.09                    | 9,012,042.69    |

|               |                      |                     |                      |                       |                     |                       |
|---------------|----------------------|---------------------|----------------------|-----------------------|---------------------|-----------------------|
| <b>TOTALS</b> | <b>78,918,000.00</b> | <b>1,688,731.55</b> | <b>80,606,731.55</b> | <b>112,071,451.80</b> | <b>2,398,167.68</b> | <b>114,469,619.48</b> |
|---------------|----------------------|---------------------|----------------------|-----------------------|---------------------|-----------------------|

\* Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

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NO. 504 001

**EXHIBIT 3**

**UNANIMOUS WRITTEN CONSENT OF THE  
EXECUTIVE COMMITTEE OF THE  
BOARD OF DIRECTORS OF  
LEHMAN BROTHERS HOLDINGS INC.**

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

**WHEREAS**, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

**WHEREAS**, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

**WHEREAS**, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

**WHEREAS**, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

**WHEREAS**, Management wishes to establish additional Guaranteed Subsidiaries,

**WHEREAS**, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

**WHEREAS**, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

**NOW THEREFORE BE IT,**

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

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06-09-05 11:31 JDM INVESTMENTS  
25/05/2005 16:41 LE-MAN + 316457022633

NO. 290 004  
NO. 524 002

**RESOLVED**, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;


**RESOLVED**, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

**RESOLVED**, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

**RESOLVED**, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegate thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof, and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

**FURTHER RESOLVED**, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

  
Richard S. Fuld, Jr.

  
John D. Macomber

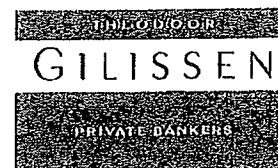
06/08/2005 16:41 LEHMAN → 916467582653

NO.504 003

**Schedule A**  
**to LBHI Unanimous Written Consent**  
**dated June 9, 2005**

|     | Name of Subsidiary                                  | Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable? |
|-----|---|---|
| 1.  | Lehman Brothers Asia Holdings Limited               | No  |
| 2.  | Lehman Brothers Bankhaus A.G.                       | Yes<br>(London branch of such subsidiary only)  |
| 3.  | Lehman Brothers Commercial Bank                     | No  |
| 4.  | Lehman Brothers Commercial Corporation              | No  |
| 5.  | Lehman Brothers Commercial Corporation Asia Limited | No  |
| 6.  | Lehman Brothers Equity Finance (Cayman) Limited     | No  |
| 7.  | Lehman Brothers Finance S.A.                        | No  |
| 8.  | Lehman Brothers Holdings Plc                        | Yes   |
| 9.  | Lehman Brothers International (Europe)              | Yes   |
| 10. | Lehman Brothers Japan Inc.                          | No  |
| 11. | Lehman Brothers (Luxembourg) Equity Finance S.A.    | No  |
| 12. | Lehman Brothers (Luxembourg) S.A.                   | No  |
| 13. | Lehman Brothers OTC Derivatives Inc.                | No  |
| 14. | Lehman Brothers Securities Asia Limited             | No  |
| 15. | Lehman Brothers Securities N.V.                     | No  |
| 16. | Lehman Brothers Special Financing Inc.              | No  |
| 17. | Lehman Brothers Treasury Co. B.V.                   | No  |
| 18. | Lehman Re Limited                                   | No  |

**EXHIBIT 4**



TO WHOM IT MAY CONCERN:

Kettersgracht 617  
1017 DS Amsterdam  
Postbus 3325  
1001 AC Amsterdam  
t +31 (0)20 527 60 00  
f +31 (0)20 527 60 60  
info@gilissen.nl  
www.gilissen.nl

**DECLARATION**

Theodoor Gilissen Global Custody N.V. ("TGGC") is custodian and accountholder (accountnumber 51/267148/29) with KBL European Private Bankers S.A., Luxemburg ("KBL"). KBL is holder of account 13048 with Clearstream Luxemburg. At present, all securities under the Lehman Brothers Securities Program held by TGGC, for which a blocking number is applied for, are registered on their account (51/267148/29) with KBL. These securities are being held in the name of KBL with Clearstream on account 13048.

AMSTERDAM/LUXEMBOURG, 15th of October 2009,

Theodoor Gilissen Global Custody N.V.,

drs. Ir. G.C.D. Versteeg

director Theodoor Gilissen Global Custody N.V.

drs. C.A. Heijne RA CPC

director Theodoor Gilissen Global Custody N.V.


KBL European Private Bankers S.A.,

Jérôme Gendarme  
Head of Custody

Philippe VAN DOOREN  
HEAD OF OPERATIONS





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| Con No.<br><b>319644360</b>  |  | Service<br><b>Express</b>         |          |
| Page<br><b>1 of 1</b>  |  | Option                            |          |
| Customer Reference<br><b>NEX 230812</b>  |  | Origin<br><b>SP8</b>              |          |
| B/R Account No.  |  | Flight Date<br><b>22 Oct 2009</b> |          |
| <b>RECEIVED</b>  |  | <b>LGG-9</b>                      |          |
| <b>OCT 23 2009</b>   |  | <b>JFK</b>                        |          |
| Delivery Address<br><b>EPIQUE BANKRUPTCY SOLUTIONS<br/>ATT. LEONARD BROTHERS HOLDINGS<br/>CLAIMS PROCESSING<br/>MANHATTAN 10017<br/>US</b> |  | City<br><b>NYC 24</b>             |          |
| Postcode / Zip Code<br><b>10017</b>  |  | Country Code<br><b>NYC</b>        |          |
| <br>1100819644360010485226010017                        |  |                                   |          |

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| Ops verify |  | Field 1 |  | Field 2 |  | Field 3 |  | Field 4 |  | Field 5 |  | Field 6 |  | Field 7 |  | Field 8 |  | Field 9 |  | Field 10 |  |
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| Special Express          |  | 9:00 Express             |  | 10:00 Express            |  | 12:00 Express            |  | Economy Express          |  | 12:00 Economy Express    |  | Insurance                |  | Saturday Delivery        |  | Priority                 |  |
| <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  | <input type="checkbox"/> |  |


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1100819644360010485226010017

**TNT**

SP8 NYC

consignment note number: \_\_\_\_\_  
number of items \_\_\_\_\_  
of \_\_\_\_\_

|   |  |  |  |
|---|--|--|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |  | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.  |  | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |  | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000048734<br>               |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><b>KAS BANK N.V.</b><br><b>Spuistraat 172</b><br><b>1012 VT AMSTERDAM, The Netherlands</b><br>Telephone number: <b>+31 20 5575911</b> Email Address: <b>KASBANK@lehmanclaim.nl</b>  |  | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><b>Court Claim Number:</b> _____<br>(If known)<br>Filed on: _____  |  |
| Name and address where payment should be sent (if different from above)<br>Telephone number: _____ Email Address: _____   |  | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  |  |
| <p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p><b>Amount of Claim:</b> <u>See attached</u> (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> |  |  |  |
| <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p><b>International Securities Identification Number (ISIN):</b> <u>See attached</u> (Required)</p>  |  |  |  |
| <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b></p> <p><u>See attached</u> (Required)</p>   |  |  |  |
| <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b></p> <p><u>See attached</u> (Required)</p>  |  |  |  |
| <p>5. <b>Consent to Euroclear Bank, Clearstream Bank or Other Depository:</b> By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>  |  | <div style="border: 2px solid black; padding: 5px; text-align: center;"> <p><b>FOR COURT USE ONLY</b></p> <p><b>FILED / RECEIVED</b></p> <p><b>OCT 27 2009</b></p> <p><b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b></p> </div> |  |
| <b>Date.</b><br>October 26 <sup>th</sup> , 2009   | <b>Signature:</b> The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.<br>Name: <b>Mr N.E. Blom</b> <b>Mr R.J. Kottman</b> |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571  |  |  |  |

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re:

Chapter 11

Lehman Brothers Holdings Inc., *et al.*

Case No. 08-13555 (JMP)

(Jointly Administered)

Debtors.  
-----X

**ANNEX TO PROOF OF CLAIM OF  
KAS BANK N.V.**

1. Claimant. KAS BANK N.V. (the "**Claimant**"), hereby files the accompanying proof of claim (the "**Proof of Claim**") against Lehman Brothers Holdings Inc. (the "**Debtor**"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "**Petition Date**"), as described more fully below.

2. Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "**Obligor**") and guaranteed by the Debtor (each a "**Program Security**" and, together, the "**Program Securities**") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <http://www.lehman-docket.com> under the heading "Key Documents." The International Securities Identification Number ("**ISIN**") identifying each Program Security, along with the Euroclear blocking reference number and the Euroclear account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 4 attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of

the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

3. Claim. The Claimant is the holder of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 82,684,969.72 plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "**Claim**"). An itemization of certain amounts compromising the Claim is set forth on Exhibit 2 attached hereto.

4. Security Interests and Priority Status. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.

5. Claims, Counterclaims, Setoffs and Defenses. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.

6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect

to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

7. Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

**EXHIBIT 1**

| ISIN         | Euroclear blocking<br>reference number | Euroclear account number<br>Account in the name of<br>KAS BANK<br>Effectenbewaarbedrijf N.V.,<br>Amsterdam, The Netherlands<br>(also trading under the name<br>of KAS Depository Trust<br>Company) | Nominal Amount<br>(EUR) | Nominal Amount<br>(USD)* |
|--------------|--|--|-------------------------|--------------------------|
| XS0263715467 | 6035720                                | 90023  | 3,924,000.00            | 5,572,472.40             |
| XS0273084656 | 6033589                                | 90023  | 1,133,000.00            | 1,608,973.30             |
| XS0276441044 | 6033755                                | 90023  | 13,463,000.00           | 19,118,806.30            |
| XS0285922133 | 6034019                                | 90023  | 759,000.00              | 1,077,855.90             |
| XS0287672694 | 6034022                                | 90023  | 6,070,000.00            | 8,620,007.00             |
| XS0295760093 | 6034023                                | 90023  | 811,000.00              | 1,151,701.10             |
| XS0296067142 | 6034025                                | 90023  | 2,096,000.00            | 2,976,529.60             |
| XS0296281735 | 6034028                                | 90023  | 4,431,000.00            | 6,292,463.10             |
| XS0305948860 | 6034029                                | 90023  | 8,100,000.00            | 11,502,810.00            |
| XS0314067140 | 6034030                                | 90023  | 1,808,000.00            | 2,567,540.80             |
| XS0317240157 | 6034032                                | 90023  | 4,026,000.00            | 5,717,322.60             |
| XS0327236757 | 6034033                                | 90023  | 2,053,000.00            | 2,915,465.30             |
| XS0332049229 | 6034042                                | 90023  | 2,326,000.00            | 3,303,152.60             |
| XS0332049815 | 6034043                                | 90023  | 2,180,000.00            | 3,095,818.00             |
| XS0332050078 | 6034044                                | 90023  | 58,000.00               | 82,365.80                |
| XS0339810078 | 6034047                                | 90023  | 321,000.00              | 455,852.10               |
| XS0346080590 | 6034048                                | 90023  | 25,000.00               | 35,502.50                |
| XS0346707903 | 6034061                                | 90023  | 476,000.00              | 675,967.60               |
| XS0349530823 | 6034062                                | 90023  | 798,000.00              | 1,133,239.80             |
| XS0368669007 | 6034063                                | 90023  | 2,255,000.00            | 3,202,325.50             |
| XS0373219582 | 6034065                                | 90023  | 12,000.00               | 17,041.20                |

**Total**

**57,125,000.00**

**81,123,212.50**

*\* Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.*

**EXHIBIT 2**

| ISIN         | Nominal Amount<br>(EUR) | Accrued<br>Interest<br>(EUR) | Total<br>(EUR)       | Nominal Amount<br>(USD)* | Accrued<br>Interest<br>(USD)* | Total<br>(USD)*      |
|--------------|-------------------------|------------------------------|----------------------|--------------------------|-------------------------------|----------------------|
| XS0263715467 | 3,924,000.00            | 287,258.30                   | 4,211,258.30         | 5,572,472.40             | 407,935.51                    | 5,980,407.91         |
| XS0273084656 | 1,133,000.00            | -                            | 1,133,000.00         | 1,608,973.30             | -                             | 1,608,973.30         |
| XS0276441044 | 13,463,000.00           | 619,666.85                   | 14,082,666.85        | 19,118,806.30            | 879,988.89                    | 19,998,795.19        |
| XS0285922133 | 759,000.00              | -                            | 759,000.00           | 1,077,855.90             | -                             | 1,077,855.90         |
| XS0287672694 | 6,070,000.00            | -                            | 6,070,000.00         | 8,620,007.00             | -                             | 8,620,007.00         |
| XS0295760093 | 811,000.00              | -                            | 811,000.00           | 1,151,701.10             | -                             | 1,151,701.10         |
| XS0296067142 | 2,096,000.00            | -                            | 2,096,000.00         | 2,976,529.60             | -                             | 2,976,529.60         |
| XS0296281735 | 4,431,000.00            | -                            | 4,431,000.00         | 6,292,463.10             | -                             | 6,292,463.10         |
| XS0305948860 | 8,100,000.00            | -                            | 8,100,000.00         | 11,502,810.00            | -                             | 11,502,810.00        |
| XS0314067140 | 1,808,000.00            | -                            | 1,808,000.00         | 2,567,540.80             | -                             | 2,567,540.80         |
| XS0317240157 | 4,026,000.00            | -                            | 4,026,000.00         | 5,717,322.60             | -                             | 5,717,322.60         |
| XS0327236757 | 2,053,000.00            | -                            | 2,053,000.00         | 2,915,465.30             | -                             | 2,915,465.30         |
| XS0332049229 | 2,326,000.00            | 49,292.08                    | 2,375,292.08         | 3,303,152.60             | 69,999.69                     | 3,373,152.29         |
| XS0332049815 | 2,180,000.00            | -                            | 2,180,000.00         | 3,095,818.00             | -                             | 3,095,818.00         |
| XS0332050078 | 58,000.00               | -                            | 58,000.00            | 82,365.80                | -                             | 82,365.80            |
| XS0339810078 | 321,000.00              | -                            | 321,000.00           | 455,852.10               | -                             | 455,852.10           |
| XS0346080590 | 25,000.00               | 605.48                       | 25,605.48            | 35,502.50                | 859.84                        | 36,362.34            |
| XS0346707903 | 476,000.00              | -                            | 476,000.00           | 675,967.60               | -                             | 675,967.60           |
| XS0349530823 | 798,000.00              | 13,817.42                    | 811,817.42           | 1,133,239.80             | 19,622.12                     | 1,152,861.92         |
| XS0368669007 | 2,255,000.00            | 128,857.14                   | 2,383,857.14         | 3,202,325.50             | 182,990.03                    | 3,385,315.53         |
| XS0373219582 | 12,000.00               | 254.30                       | 12,254.30            | 17,041.20                | 361.13                        | 17,402.33            |
| <b>Total</b> | <b>57,125,000.00</b>    | <b>1,099,751.58</b>          | <b>58,224,751.58</b> | <b>81,123,212.50</b>     | <b>1,561,757.22</b>           | <b>82,684,969.72</b> |

\* Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

**EXHIBIT 3**

**UNANIMOUS WRITTEN CONSENT OF THE  
EXECUTIVE COMMITTEE OF THE  
BOARD OF DIRECTORS OF  
LEHMAN BROTHERS HOLDINGS INC.**

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

**WHEREAS**, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

**WHEREAS**, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

**WHEREAS**, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

**WHEREAS**, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

**WHEREAS**, Management wishes to establish additional Guaranteed Subsidiaries,

**WHEREAS**, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

**WHEREAS**, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

**NOW THEREFORE BE IT,**



06-09-05 29:11 LE-MAN + 316457522654  
 26/08/2005 16:41 LE-MAN + 316457522653

NO. 290 004  
 NO. 524 002

**RESOLVED**, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

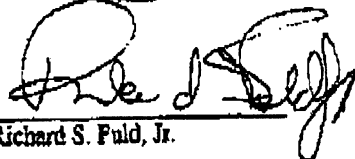
**RESOLVED**, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

**RESOLVED**, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

**RESOLVED**, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegate thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

**FURTHER RESOLVED**, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

  
 Richard S. Fuld, Jr.

  
 John D. Macomber

**Schedule A**  
**to LBHI Unanimous Written Consent**  
**dated June 9, 2005**

|     | <b>Name of Subsidiary</b>                           | <b>Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?</b> |
|-----|---|--|
| 1.  | Lehman Brothers Asia Holdings Limited               | No   |
| 2.  | Lehman Brothers Bankhaus A.G.                       | Yes<br>(London branch of such subsidiary only)   |
| 3.  | Lehman Brothers Commercial Bank                     | No   |
| 4.  | Lehman Brothers Commercial Corporation              | No   |
| 5.  | Lehman Brothers Commercial Corporation Asia Limited | No   |
| 6.  | Lehman Brothers Equity Finance (Cayman) Limited     | No   |
| 7.  | Lehman Brothers Finance S.A.                        | No   |
| 8.  | Lehman Brothers Holdings Plc                        | Yes  |
| 9.  | Lehman Brothers International (Europe)              | Yes  |
| 10. | Lehman Brothers Japan Inc.                          | No   |
| 11. | Lehman Brothers (Luxembourg) Equity Finance S.A.    | No   |
| 12. | Lehman Brothers (Luxembourg) S.A.                   | No   |
| 13. | Lehman Brothers OTC Derivatives Inc.                | No   |
| 14. | Lehman Brothers Securities Asia Limited             | No   |
| 15. | Lehman Brothers Securities N.V.                     | No   |
| 16. | Lehman Brothers Special Financing Inc.              | No   |
| 17. | Lehman Brothers Treasury Co. B.V.                   | No   |
| 18. | Lehman Re Limited                                   | No   |

**EXHIBIT 4**

To whom it may concern:


**DECLARATION**

KAS BANK Effectenbewaarbedrijf N.V. (also trading under the name KAS Depository Trust Company and hereinafter referred to as **KDTC**) is custodian and holder of account 90023 with Euroclear. KAS BANK N.V. (the **Claimant**) owns 100% of the shares in KDTC.

The Claimant is authorised to act on behalf of KDTC pursuant to the Rules Concerning Custody of Securities held by KDTC (the **KDTC Rules**).

The Claimant uses the services of KDTC for the custody of certain types of securities, in fulfilment of the obligation imposed on the Claimant by Netherlands law and regulations to protect the proprietary interests of its clients in respect of securities placed in custody with it. Since the Anglo-American legal concept of ownership of property by way of trust (or any other similar equitable interest) is not known under Netherlands law, the general position is that securities held by the Claimant for its clients but in its name will form a part of the assets of the Claimant and will therefore fall into its bankruptcy estate unless specific measures to protect the proprietary interests of its clients are taken. This is why such securities are held by KDTC. KDTC's sole object is the custody of securities on behalf of the Claimant's clients and it is prohibited by its Articles of Association from incurring any commercial risk in the conduct of its business. Custody of securities that are held by KDTC for the client's protection is subject to the KDTC Rules. According to Clause 2 of the KDTC Rules the Claimant shall act with respect to the securities held by KDTC on behalf of the clients in accordance with the instructions given by those clients. Under the KDTC Rules, the client has a *direct claim* on KDTC in respect of the securities held by KDTC on the client's behalf. Since this direct claim would not be affected by bankruptcy of the Claimant under Dutch bankruptcy law, the client's position with regard to securities held by KDTC is safeguarded.

Amsterdam, 26 October 2009

  
Name: drs. N.E. Blom

KAS BANK N.V.

  
Name: R.J. Kooijman RA

KAS BANK N.V.

|                                   |                           |   |  |
|-----------------------------------|---------------------------|---|--|
| <b>1. Sender's Account Number</b> |                           | <b>000062508</b>                        |  |
| <b>2. Invoice to Receiver</b>     |                           | <b>SENDER LIABLE FOR UNPAID CHARGES</b> |  |
| <input type="checkbox"/>          | Receiver's account number |   |  |
| <b>3. Customer Reference</b>      |                           |   |  |
|                                   |                           |   |  |

|                  |                     |                       |             |
|------------------|---------------------|-----------------------|-------------|
| Name:            | WIJS ? VAN OOSTVEEN | Postal / Zip Code:    | 1017 BT     |
| Address:         | HERENGRACHT 493     | Country:              | NL          |
| City:            | AMSTERDAM           |                       |             |
| Province/Region: |                     |                       |             |
| Contact Name:    | MAARTEN DU MEE      | Tel. No. (mandatory): | 020 6388226 |

|                  |   |                       |       |
|------------------|---|-----------------------|-------|
| Name:            | EPIQUE BANKRUPTCY SOLUTIONS                     | Postal / Zip Code:    | 10017 |
| Address:         | ATT. LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING | Country:              | US    |
| City:            | 757 3RD AV., 3RD FLOOR MANHATTAN                |                       |       |
| Province/Region: | NY  |                       |       |
| Contact Name:    | O.O.  | Tel. No. (mandatory): | 0.0   |

**RECEIVED**

**OCT 27 2009**

|                  |  |                       |  |
|------------------|--|-----------------------|--|
| Name:            |  | Postal / Zip Code:    |  |
| Address:         |  | Country:              |  |
| City:            |  |                       |  |
| Province/Region: |  |                       |  |
| Contact Name:    |  | Tel. No. (mandatory): |  |

Does this consignment contain any dangerous goods?    Yes ☐    No ☒

CARRIAGE OF THIS CONSIGNMENT IS SUBJECT TO THE TERMS AND CONDITIONS ON THE REVERSE (ALWAYS THE LATEST VERSION, SEE WWW.INTEXPRESS.NL) WHICH LIMITS TNT'S LIABILITY IN ACCORDANCE WITH THE WARSAW CONVENTION AND THE CMR

Signature Sender \_\_\_\_\_ Received by TNT \_\_\_\_\_

Sending Depot  
Receiving Dept  
**SPB**  
**NYC**

**GD 319826544 WW**

Please quote this number if you have an enquiry.

|                              | International                       | Domestic                 |
|------------------------------|-------------------------------------|--------------------------|
| Documents                    | <input type="checkbox"/>            | <input type="checkbox"/> |
| Non-Documents                | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>Special Express</b>       | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>9:00 Express</b>          | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>10:00 Express</b>         | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>12:00 Express</b>         | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <b>Economy Express</b>       | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>12:00 Economy Express</b> | <input type="checkbox"/>            | <input type="checkbox"/> |
| <b>Economy Express</b>       | <input type="checkbox"/>            | <input type="checkbox"/> |

|                          |                          |
|--------------------------|--------------------------|
| <b>Priority</b>          | <input type="checkbox"/> |
| <b>Saturday Delivery</b> | <input type="checkbox"/> |
| <b>Insurance</b>         | <input type="checkbox"/> |

Please contact Customer Service at 0800-1234 to arrange shipment. For service details, please see brochure. If no services selected the express services will be provided and invoiced.

| General Description | Number of Packages | Weight |       | Dimensions          |       |        |
|---------------------|--------------------|--------|-------|---------------------|-------|--------|
|                     |                    | Kilos  | Grams | Length              | Width | Height |
| DOCS                | 1                  |        |       | 20                  | 10    | 1      |
|                     |                    |        |       |                     |       |        |
|                     |                    |        |       |                     |       |        |
|                     |                    |        |       |                     |       |        |
|                     |                    |        |       |                     |       |        |
|                     | Total              | 1      | 0     | 100                 |       |        |
| OPS verify:         |                    |        |       | Volume:<br>Weights: |       |        |

Receiver's VAN / IVA / B/W / MWST No.

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**United States Bankruptcy Court/Southern District of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000048817



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

ZNV NV  
VLUCHTENBURGSTRAT 7  
B - 2630 AARTSELAAR

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Filed on: \_\_\_\_\_

Telephone number: 003238704400 Email Address: marc.staams@Znv.be

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number: Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ 1,424,400.00 (Required)

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0348560524 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

CA 65733 (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

16624 (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:

16/10/2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

*[Signature]*

FOR COURT USE ONLY

FILED / RECEIVED

OCT 27 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

**KBC Bank  
Head Office**



Clientsupport / Custody

VBN - Havenlaan 2 - 1080 Brussels

## **AFFIDAVIT OF OWNERSHIP LEHMAN BROTHERS**

September 11th 2009

KBC BANK NV, Havenlaan 2, 1080 BRUSSELS, declares that our client

ZNU  
VLUCHTENBURGSTRAAT 7  
B 2630 AARTSELAAR

had a position of 1,000,000 EUR with isin-code XS0348560524

on his accountnumber 727-9197736-61 on 11 September 2009.

A handwritten signature in black ink, appearing to be "B. Bal", with a horizontal line drawn underneath.

Bart Bal  
VBN / Clientsupport

A handwritten signature in black ink, appearing to be "P. Hendrix", with a horizontal line drawn underneath.

Paul Hendrix  
Head of VBN / Clientsupport

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076  
USA

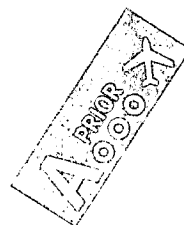
**ZNU**

Zuidnederlandse Uitgeverij N.V.  
Vluchtenburgstraat 7, B-2630 Aartselaar (Belgium)

DELTA  
CHANTECLER

2630  
22.10.09-10

AARTSELAAR  
AARTSELAAR


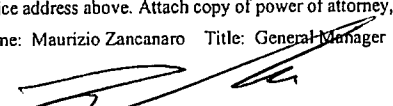


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OCT 27 2009

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|  |   |  |  |
|--|---|--|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076  |   | <b>LEHMAN SECURITIES PROGRAMS</b><br>PROOF OF CLAIM<br>Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000049740             |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.   | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)   |    |  |
| <b>Note:</b> This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009  |   |  |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)   |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><b>Court Claim Number:</b> _____<br>(If known)<br><br><b>Filed on:</b> _____ |  |
| Banca Aletti & C. S.p.A.<br>Via Santo Spirito, 14<br>20121 Milano<br>Italy<br>Attention: Mr. Massimo Zerbino   |   |  |  |
| Telephone number: +39 0243358107 Email Address: massimo.zerbino@alettibank.it  |   |  |  |
| Name and address where payment should be sent (if different from above)  |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.            |  |
| Telephone number: Email Address:   |   |  |  |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.<br><b>Amount of Claim:</b> <u>See attached</u> (Required)<br><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. |   |  |  |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.<br><b>International Securities Identification Number (ISIN):</b> <u>See attached</u> (Required)  |   |  |  |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.<br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b><br><u>See attached</u> (Required)   |   |  |  |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.<br><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b><br><u>See attached</u> (Required)  |   |  |  |
| 5. <b>Consent to Euroclear Bank, Clearstream Bank or Other Depository:</b> By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  |   |  |  |
| Date<br>October<br><b>23</b> , 2009  | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.<br>Name: Maurizio Zancanaro Title: General Manager<br> |  |  |
|  |   | <b>FOR COURT USE ONLY</b><br><b>FILED / RECEIVED</b><br><b>OCT 27 2009</b><br><b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b>  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571   |   |  |  |

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re: Chapter 11  
Lehman Brothers Holdings Inc., *et al.* Case No. 08-13555 (JMP)  
(Jointly Administered)  
Debtors.  
-----X

**ANNEX TO PROOF OF CLAIM OF  
BANCA ALETTI & C. S.P.A.**

1. Claimant. Banca Aletti & C. S.p.A. (the "**Claimant**"), hereby files the accompanying proof of claim (the "**Proof of Claim**") against Lehman Brothers Holdings Inc. (the "**Debtor**"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "**Petition Date**"), as described more fully below.

2. Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. and Lehman Brothers UK Capital Funding II LP (the "**Obligors**") and guaranteed by the Debtor (each a "**Program Security**" and, together, the "**Program Securities**") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <http://www.lehman-docket.com> under the heading "Key Documents." The International Securities Identification Number ("**ISIN**") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 3 attached hereto. The Program Securities issued by

Lehman Brothers Treasury Co. B.V. have also the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of Lehman Brothers Treasury Co. B.V. pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

3. Claim. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 68,411,014.91 plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.

4. Security Interests and Priority Status. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.

5. Claims, Counterclaims, Setoffs and Defenses. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.

6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

7. Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

**EXHIBIT 1**

| ISIN Code    | Clearstream<br>blocking reference # | Clearstream<br>account # | Issuer                             | Nominal Amount  |
|--------------|-------------------------------------|--------------------------|------------------------------------|-----------------|
| XS0163559841 | CA18387                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 797,000.00    |
| XS0176153350 | CA18388                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 7,939,000.00  |
| XS0181945972 | CA18389                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 9,241,000.00  |
| XS0185655445 | CA18385                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 934,000.00    |
| XS0302315386 | CA18386                             | 83603                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 625,000.00    |
| XS0307348234 | CA18384                             | 83603                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 8,000.00      |
| XS0162289663 | CA18379                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 542,000.00    |
| XS0178969209 | CA18375                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 7,029,000.00  |
| XS0189294225 | CA18370                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 336,000.00    |
| XS0195431613 | CA18377                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 488,000.00    |
| XS0200284247 | CA18373                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 10,499,000.00 |
| XS0202417050 | CA18372                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 170,000.00    |
| XS0197173643 | CA18368                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 20,000.00     |
| XS0208459023 | CA18371                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 1,845,000.00  |
| XS0210782552 | CA18363                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 1,289,000.00  |
| XS0211814123 | CA18364                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 1,319,000.00  |
| XS0211093041 | CA18367                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 2,027,000.00  |
| XS0213971210 | CA18365                             | 83611                    | LEHMAN BROTHERS<br>TREASURY CO. BV | € 733,000.00    |

|              |         |       |  |              |
|--------------|---------|-------|--|--------------|
| XS0213416141 | CA18358 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 5,000.00   |
| XS0229269856 | CA18359 | 83611 | LEHMAN BROTHERS<br>UK CAPITAL FUNDING<br>II LP | € 15,000.00  |
| XS0220704109 | CA18357 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 65,000.00  |
| IT0006578600 | CA18361 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 140,000.00 |
| XS0276162327 | CA18349 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 102,000.00 |
| XS0229584296 | CA18348 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 25,000.00  |
| XS0210433206 | CA18351 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 8,000.00   |
| XS0232364868 | CA18347 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 2,000.00   |
| XS0301086475 | CA18343 | 83611 | LEHMAN BROTHERS<br>TREASURY CO. BV             | € 651.00     |

**EXHIBIT 2**

| [ISIN Code]  | Nominal Amount<br>(FOREIGN CURRENCY) | Interest **<br>(FOREIGN CURRENCY) | Total<br>(FOREIGN CURRENCY) | Nominal Amount<br>(USD) * | Interest<br>(USD) * | Total<br>(USD) * |
|--------------|--------------------------------------|-----------------------------------|-----------------------------|---------------------------|---------------------|------------------|
| XS0163559841 | EUR 797,000.00                       | 15,379.09                         | 812,379.09                  | 1,127,834.70              | 21,762.95           | 1,149,597.65     |
| XS0176153350 | EUR 7,939,000.00                     | 225,680.26                        | 8,164,680.26                | 11,234,478.90             | 319,360.14          | 11,553,839.04    |
| XS0181945972 | EUR 9,241,000.00                     | 221,061.35                        | 9,462,061.35                | 13,076,939.10             | 312,823.92          | 13,389,763.02    |
| XS0185655445 | EUR 934,000.00                       | 23,283.24                         | 957,283.24                  | 1,321,703.40              | 32,948.12           | 1,354,651.52     |
| XS0302315386 | EUR 625,000.00                       | -                                 | 625,000.00                  | 884,437.50                | -                   | 884,437.50       |
| XS0307348234 | EUR 8,000.00                         | -                                 | 8,000.00                    | 11,320.80                 | -                   | 11,320.80        |
| XS0162289663 | EUR 542,000.00                       | 5,871.67                          | 547,871.67                  | 766,984.20                | 8,309.00            | 775,293.20       |
| XS0178969209 | EUR 7,029,000.00                     | 193,483.55                        | 7,222,483.55                | 9,946,737.90              | 273,798.58          | 10,220,536.48    |
| XS0189294225 | EUR 336,000.00                       | 5,709.77                          | 341,709.77                  | 475,473.60                | 8,079.90            | 483,553.50       |
| XS0195431613 | EUR 488,000.00                       | 4,111.04                          | 492,111.04                  | 690,568.80                | 5,817.53            | 696,386.33       |
| XS0200284247 | EUR 10,499,000.00                    | 313,224.27                        | 10,812,224.27               | 14,857,134.90             | 443,243.67          | 15,300,378.57    |
| XS0202417050 | EUR 170,000.00                       | 4,506.04                          | 174,506.04                  | 240,567.00                | 6,376.50            | 246,943.50       |
| XS0197173643 | EUR 20,000.00                        | 82.50                             | 20,082.50                   | 28,302.00                 | 116.75              | 28,418.75        |
| XS0208459023 | EUR 1,845,000.00                     | 41,243.59                         | 1,886,243.59                | 2,610,859.50              | 58,363.80           | 2,669,223.30     |
| XS0210782552 | GBP 1,289,000.00                     | 28,176.27                         | 1,317,176.27                | 2,297,454.37              | 50,220.09           | 2,347,674.47     |
| XS0211814123 | EUR 1,319,000.00                     | 31,702.51                         | 1,350,702.51                | 1,866,516.90              | 44,862.23           | 1,911,379.13     |
| XS0211093041 | EUR 2,027,000.00                     | 23,371.42                         | 2,050,371.42                | 2,868,407.70              | 33,072.90           | 2,901,480.60     |
| XS0213971210 | EUR 733,000.00                       | 7,209.84                          | 740,209.84                  | 1,037,268.30              | 10,202.64           | 1,047,470.94     |
| XS0213416141 | EUR 5,000.00                         | 49.73                             | 5,049.73                    | 7,075.50                  | 70.37               | 7,145.87         |
| XS0229269856 | EUR 15,000.00                        | 754.05                            | 15,754.05                   | 21,226.50                 | 1,067.05            | 22,293.55        |
| XS0220704109 | EUR 65,000.00                        | 759.43                            | 65,759.43                   | 91,981.50                 | 1,074.67            | 93,056.17        |
| IT0006578600 | EUR 140,000.00                       | -                                 | 140,000.00                  | 198,114.00                | -                   | 198,114.00       |
| XS0276162327 | EUR 102,000.00                       | -                                 | 102,000.00                  | 144,340.20                | -                   | 144,340.20       |
| XS0229584296 | EUR 25,000.00                        | 1,708.50                          | 26,708.50                   | 35,377.50                 | 2,417.70            | 37,795.20        |
| XS0210433206 | EUR 8,000.00                         | 278.03                            | 8,278.03                    | 11,320.80                 | 393.44              | 11,714.24        |
| XS0232364868 | EUR 2,000.00                         | 103.93                            | 2,103.93                    | 2,830.20                  | 147.08              | 2,977.28         |
| XS0301086475 | UNIT 651.00                          | -                                 | 651,000.00                  | 921,230.10                | -                   | 921,230.10       |

**Total Claim Amount USD 68,411,014.91**

*\* Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the European Central Bank for September 15, 2008: 1 EUR = 1.4151 USD*

*Amounts due in GBP have been converted to US Dollars using the exchange reference rate GBP/EUR and USD/EUR published by the European Central Bank for September 15, 2008: 1 GBP = 1.259525 EUR = 1.782354 USD*

*Each 1 UNIT of ISIN Code XS0301086475 represents EUR 1.000 of Nominal Amount*

*\*\* Interest accrued until September 14, 2008 (included)*



**EXHIBIT 3**

Evidence of Ownership

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                        |
| Pool Reference                    |  |
| Function of Message               | New Message                                |
| Instruction Type                  | Receive Free                               |
| Activity Status                   | Settlement-Settled                         |
| ISIN Code                         | XS0163559841                               |
| Common Code                       | 016355984                                  |
| Financial Instrument Description  | EUR 6 LBHMAN BROS TREAS CO.BV (1-2)03-2011 |
| Place of Safekeeping              | IRVTGB2XGPT                                |
| Common Code Type                  | Single                                     |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                     |
| Last Update                       | 19/10/09 16 06                             |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C    |
| Quantity of Financial Instrument  | 797 000                                    |
| Quantity Type                     | Face Amount                                |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                   |
| Trade Date/Time                   | 19/10/09 0 00                              |
| Closing Date                      |  |
| Priority                          | Normal Priority                            |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No   |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes  |
| Deal                              |  |
| Trans                             | CA18387                                    |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                        |
| Actual Settled Amount             |  |
| Exp./Eff. Settlement Date         | 19/10/09                                   |
| Exp./Eff. Value Date              |  |
| Best Matching Instruction         |  |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEBLULLXXX - Clearstream                   |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0163559841 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BU     |
| CA Notification | 0000000065486204 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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Clearstream Banking - CreationOnline

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### Security Post Release

#### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0176153350                             |
| Common Code                       | 017615335                                |
| Financial Instrument Description  | EUR 7,00 LEHMAN BROS.HOLD.INC 03-2013    |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16 06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 7 939.000                                |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Tran                              | CA18388                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp./Eff. Settlement Date         | 19/10/09                                 |
| Exp./Eff. Value Date              |  |
| Best Matching Instruction         |  |

#### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0176153350 OCE CONSENT YOUR INX |

#### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BW     |
| CA Notification | 0000000065486207 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16:06 |      | Settlement | Settled  |                  |
| 19/10/09 16:06 |      | Processing | Accepted |                  |
| 19/10/09 16:06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16:06 |      | Settlement | Settled |                  |

BSMauro

Clearstream Banking - CreationOnline

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### Security Post Release

#### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0181945972                             |
| Common Code                       | 018194597                                |
| Financial Instrument Description  | EUR 7 LEHMAN BROS TREAS 04-2014          |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16.06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 9 241 000                                |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Tramo                             | CA18389                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp./Eff. Settlement Date         | 19/10/09                                 |
| Exp./Eff. Value Date              |  |
| Best Matching Instruction         |  |

#### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0181945972 OCE CONSENT YOUR INX |

#### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BY     |
| CA Notification | 0000000065486205 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Pool Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0185655445                            |
| Common Code                       | 018565544                               |
| Financial Instrument Description  | EUR 7 LEHMAN BROS. TREAS. 04-2014       |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16 06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 934 000                                 |
| Quantity Type                     | Face Amount                             |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0.00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Trano                             | CA18385                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp/Eff. Settlement Date          | 19/10/09                                |
| Exp/Eff. Value Date               |   |
| Best Matching Instruction         |   |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (68) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0185655445 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BQ     |
| CA Notification | 0000000065486202 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.36 CET

### Security Post Release

#### Trade Details

|                                   |                                    |
|-----------------------------------|------------------------------------|
| Type of Settlement Transaction    | Securities Blocking                |
| Pool Reference                    |                                    |
| Function of Message               | New Message                        |
| Instruction Type                  | Receive Free                       |
| Activity Status                   | Settlement Settled                 |
| ISIN Code                         | XS0302315386                       |
| Common Code                       | 030231538                          |
| Financial Instrument Description  | EUR 0,00 LEHMAN BROS TREAS 07-2013 |
| Place of Safekeeping              | IRVTGB2XGPT                        |
| Common Code Type                  | Single                             |
| Reason Narrative                  |                                    |
| Sender's Reference                | NONREF                             |
| Last Update                       | 19/10/09 16.06                     |
| Previous Reference                |                                    |
| Deal Reference                    |                                    |
| Common Reference                  |                                    |
| Safekeeping Account               | 83603 - BANCA ALETTI + C SPA       |
| Quantity of Financial Instrument  | 625 000                            |
| Quantity Type                     | Face Amount                        |
| Processing Indicator              |                                    |
| Requested Settlement Date         | 19/10/09                           |
| Trade Date/Time                   | 19/10/09 0.00                      |
| Closing Date                      |                                    |
| Priority                          | Normal Priority                    |
| Settlement Amount                 |                                    |
| Currency                          |                                    |
| Guaranteed Delivery               | No                                 |
| Deal Price                        |                                    |
| Deal Price Currency               |                                    |
| Deal Price Format                 |                                    |
| Taxable Income Per Share          |                                    |
| Taxable Income Per Share Currency |                                    |
| Taxable Income Per Share Format   |                                    |
| FX Currency                       |                                    |
| Delivery Without Matching         | Yes                                |
| Deal                              |                                    |
| Trano                             | CA 18386                           |
| Common                            |                                    |
| Transaction Indicator             | Settlement Activity                |
| Actual Settled Amount             |                                    |
| Exp / Eff Settlement Date         | 19/10/09                           |
| Exp / Eff Value Date              |                                    |
| Best Matching Instruction         |                                    |

#### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| B/C Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83603                                      |
| Description                     | BANCA ALETTI + C SPA                       |
| Settlement Processing Narrative | CONSBLOK XS0302315386 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83603 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.36 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BS     |
| CA Notification | 0000000065489174 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro

Clearstream Banking - CreationOnline

16.36 CET

## Security Post Release

### Trade Details

|                                   |                                    |
|-----------------------------------|------------------------------------|
| Type of Settlement Transaction    | Securities Blocking                |
| Pool Reference                    |                                    |
| Function of Message               | New Message                        |
| Instruction Type                  | Receive Free                       |
| Activity Status                   | Settlement-Settled                 |
| ISIN Code                         | XS0307348234                       |
| Common Code                       | 030734823                          |
| Financial Instrument Description  | EUR 0,00 LEHMAN BROS TREAS 07-2013 |
| Place of Safekeeping              | IRVTGB2XGPT                        |
| Common Code Type                  | Single                             |
| Reason Narrative                  |                                    |
| Sender's Reference                | NONREF                             |
| Last Update                       | 19/10/09 16 06                     |
| Previous Reference                |                                    |
| Deal Reference                    |                                    |
| Common Reference                  |                                    |
| Safekeeping Account               | 83603 - BANCA ALETTI + C SPA       |
| Quantity of Financial Instrument  | 8 000                              |
| Quantity Type                     | Face Amount                        |
| Processing Indicator              |                                    |
| Requested Settlement Date         | 19/10/09                           |
| Trade Date/Time                   | 19/10/09 0 00                      |
| Closing Date                      |                                    |
| Priority                          | Normal Priority                    |
| Settlement Amount                 |                                    |
| Currency                          |                                    |
| Guaranteed Delivery               | No                                 |
| Deal Price                        |                                    |
| Deal Price Currency               |                                    |
| Deal Price Format                 |                                    |
| Taxable Income Per Share          |                                    |
| Taxable Income Per Share Currency |                                    |
| Taxable Income Per Share Format   |                                    |
| FX Currency                       |                                    |
| Delivery Without Matching Deal    | Yes                                |
| Trano                             | CA18384                            |
| Common                            |                                    |
| Transaction Indicator             | Settlement Activity                |
| Actual Settled Amount             |                                    |
| Exp/Eff Settlement Date           | 19/10/09                           |
| Exp/Eff Value Date                |                                    |
| Best Matching Instruction         |                                    |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83603                                      |
| Description                     | BANCA ALETTI + C. SPA                      |
| Settlement Processing Narrative | CONSBLOK XS0307348234 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83603 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.36 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BO     |
| CA Notification | 0000000065489253 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

### Security Post Release

#### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0162289663                             |
| Common Code                       | 016228966                                |
| Financial Instrument Description  | EUR 5 LEHMAN BROS TREAS CO.BV 03-2010    |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16.06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 542 000                                  |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0.00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trano                             | CA18379                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Elit Settlement Date          | 19/10/09                                 |
| Exp/Elit Value Date               |  |
| Best Matching Instruction         |  |

#### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0162289663 OCE CONSENT YOUR INX |

#### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BZ     |
| CA Notification | 0000000065486201 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

### Security Post Release

#### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                         |
| Pool Reference                    |   |
| Function of Message               | New Message                                 |
| Instruction Type                  | Receive Free                                |
| Activity Status                   | Settlement-Settled                          |
| ISIN Code                         | XS0178969209                                |
| Common Code                       | 017896920                                   |
| Financial Instrument Description  | EUR 7 LEHMAN BROS TREAS.CO.BV (1-2) 03-2013 |
| Place of Safekeeping              | IRVTGB2XGPT                                 |
| Common Code Type                  | Single                                      |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                      |
| Last Update                       | 19/10/09 16.06                              |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C     |
| Quantity of Financial Instrument  | 7.029.000                                   |
| Quantity Type                     | Face Amount                                 |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                    |
| Trade Date/Time                   | 19/10/09 0.00                               |
| Closing Date                      |   |
| Priority                          | Normal Priority                             |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes   |
| Deal                              |   |
| Trano                             | CA18375                                     |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                         |
| Actual Settled Amount             |   |
| Exp/Err Settlement Date           | 19/10/09                                    |
| Exp/Err Value Date                |   |
| Best Matching Instruction         |   |

#### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0178969209 OCE CONSENT YOUR INX |

#### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |



|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16,40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference       |
|-----------------|-----------------|
| Clearstream     | 02M89A0005BR    |
| CA Notification | 000000065486203 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0189294225                             |
| Common Code                       | 018929422                                |
| Financial Instrument Description  | EUR 7,00 LBHMAN BROS.04-2014             |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16 06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 336 000                                  |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Transo                            | CA18370                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Br Settlement Date            | 19/10/09                                 |
| Exp/Br Value Date                 |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0189294225 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B2     |
| CA Notification | 0000000065486206 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                       |
| Pop/Reference                     |   |
| Function of Message               | New Message                               |
| Instruction Type                  | Receive Free                              |
| Activity Status                   | Settlement-Settled                        |
| ISIN Code                         | XS0195431613                              |
| Common Code                       | 019543161                                 |
| Financial Instrument Description  | EUR 7,00 LEHMAN BROS (W8 S)/TREAS 04-2014 |
| Place of Safekeeping              | IRVTGB2XGPT                               |
| Common Code Type                  | Single                                    |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                    |
| Last Update                       | 19/10/09 16 06                            |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C   |
| Quantity of Financial Instrument  | 488 000                                   |
| Quantity Type                     | Face Amount                               |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                  |
| Trade Date/Time                   | 19/10/09 0 00                             |
| Closing Date                      |   |
| Priority                          | Normal Priority                           |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                       |
| Deal                              |   |
| Trano                             | CA18377                                   |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                       |
| Actual Settled Amount             |   |
| Exp/Eff Settlement Date           | 19/10/09                                  |
| Exp/Eff Value Date                |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0195431613 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

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16.40 CET

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BV     |
| CA Notification | 0000000065486263 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Pool Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0200284247                            |
| Common Code                       | 020028424                               |
| Financial Instrument Description  | EUR 7,00 LEHMAN BROS.TREAS.04-2014      |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16.06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 10 499.000                              |
| Quantity Type                     | Face Amount                             |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0 00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Trano                             | CA18373                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp/Err Settlement Date           | 19/10/09                                |
| Exp/Err Value Date                |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0200284247 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BN     |
| CA Notification | 0000000065486266 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled  |                  |
| 19/10/09 16 06 |      | Processing | Accepted |                  |
| 19/10/09 16 06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Pool Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0202417050                            |
| Common Code                       | 020241705                               |
| Financial Instrument Description  | EUR 7,50 LBHMAN BROS HOLD PLC 04-2014   |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16.06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 170 000                                 |
| Quantity Type                     | Face Amount                             |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0.00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Trano                             | CA18372                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp/Err Settlement Date           | 19/10/09                                |
| Exp/Err Value Date                |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0202417050 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |



|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| IN/PA           | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BL     |
| CA Notification | 0000000065486265 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                           |
| Pool Reference                    |   |
| Function of Message               | New Message                                   |
| Instruction Type                  | Receive Free                                  |
| Activity Status                   | Settlement-Settled                            |
| ISIN Code                         | XS0197173643                                  |
| Common Code                       | 019717364                                     |
| Financial Instrument Description  | EUR FL R LEHMAN BROS.TREAS.CO BV(W8 S)04-2011 |
| Place of Safekeeping              | IRVTGB2XGPT                                   |
| Common Code Type                  | Single  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF  |
| Last Update                       | 19/10/09 16 06                                |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C       |
| Quantity of Financial Instrument  | 20 000  |
| Quantity Type                     | Face Amount                                   |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                      |
| Trade Date/Time                   | 19/10/09 0 00                                 |
| Closing Date                      |   |
| Priority                          | Normal Priority                               |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes   |
| Deal                              |   |
| Trans                             | CA 18368                                      |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                           |
| Actual Settled Amount             |   |
| Exp/Err Settlement Date           | 19/10/09                                      |
| Exp/Err Value Date                |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0197173643 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AW     |
| CA Notification | 0000000065486277 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Pool Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0208459023                            |
| Common Code                       | 020845902                               |
| Financial Instrument Description  | EUR 7,00 LEHMAN BROS.HOLD INC. 04-2016  |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16.06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 1.845 000                               |
| Quantity Type                     | Face Amount                             |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0 00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Tran                              | CA18371                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp./Eff. Settlement Date         | 19/10/09                                |
| Exp./Eff. Value Date              |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0208459023 OCE CONSENT YOUR INX |

### Settlement Parties

| Input                  | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

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#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005BJ     |
| CA Notification | 0000000065486264 |

#### History

| CEP Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEP Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0210782552                             |
| Common Code                       | 021078255                                |
| Financial Instrument Description  | EUR FL R LEHMAN BROS.TREAS.CO BV 05-2013 |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16 06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C  |
| Quantity of Financial Instrument  | 1 289.000                                |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date / Time                 | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trano                             | CA18363                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Eff Settlement Date           | 19/10/09                                 |
| Exp/Eff Value Date                |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0210782552 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B8     |
| CA Notification | 0000000065486563 |

#### History

| CE Timestamp   | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CE Timestamp   | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0211814123                             |
| Common Code                       | 021181412                                |
| Financial Instrument Description  | EUR 7,00 LEHMAN BROS TREAS.CO.BV 05-2017 |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16.06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C  |
| Quantity of Financial Instrument  | 1 319.000                                |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trano                             | CA18364                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Eff. Settlement Date          | 19/10/09                                 |
| Exp/Eff. Value Date               |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0211814123 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |



|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AN     |
| CA Notification | 0000000065486547 |

#### History

| CE Timestamp   | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CE Timestamp   | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

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## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0211093041                             |
| Common Code                       | 021109304                                |
| Financial Instrument Description  | EUR 7,50 LEHMAN BROS TREAS CO BV 05-2015 |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16 06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C  |
| Quantity of Financial Instrument  | 2 027 000                                |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trano                             | CA18367                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Eff Settlement Date           | 19/10/09                                 |
| Exp/Eff Value Date                |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0211093041 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AT     |
| CA Notification | 0000000065486556 |

#### History

| CEI Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CEI Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                               |
| Pool Reference                    |   |
| Function of Message               | New Message                                       |
| Instruction Type                  | Receive Free                                      |
| Activity Status                   | Settlement-Settled                                |
| ISIN Code                         | XS0213971210                                      |
| Common Code                       | 021397121   |
| Financial Instrument Description  | EJR 7,625 LEHMAN BROS. TREAS. CO. BV (W8 S) 05-15 |
| Place of Safekeeping              | IRVTGB2XGPT                                       |
| Common Code Type                  | Single  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF  |
| Last Update                       | 19/10/09 16.06                                    |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C           |
| Quantity of Financial Instrument  | 733 000   |
| Quantity Type                     | Face Amount                                       |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09  |
| Trade Date/Time                   | 19/10/09 0.00                                     |
| Closing Date                      |   |
| Priority                          | Normal Priority                                   |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes   |
| Deal                              |   |
| Trano                             | CA18365   |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                               |
| Actual Settled Amount             |   |
| Exp/Eff Settlement Date           | 19/10/09  |
| Exp/Eff Value Date                |   |
| Best Matching Instruction         |   |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | (6B) BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0213971210 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AK     |
| CA Notification | 0000000065486555 |

#### History

| CE Timestamp   | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CE Timestamp   | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                           |
| Pool Reference                    |   |
| Function of Message               | New Message                                   |
| Instruction Type                  | Receive Free                                  |
| Activity Status                   | Settlement-Settled                            |
| ISIN Code                         | XS0213416141                                  |
| Common Code                       | 021341614                                     |
| Financial Instrument Description  | EUR 8,25 LEHMAN BROS.TREAS.CO.BV(W8 S)05-2035 |
| Place of Safekeeping              | IRVTGB2XGPT                                   |
| Common Code Type                  | Single  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF  |
| Last Update                       | 19/10/09 16.06                                |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C       |
| Quantity of Financial Instrument  | 5 000   |
| Quantity Type                     | Face Amount                                   |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                      |
| Trade Date/Time                   | 19/10/09 0 00                                 |
| Closing Date                      |   |
| Priority                          | Normal Priority                               |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes   |
| Deal                              |   |
| Trano                             | CA18358                                       |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                           |
| Actual Settled Amount             |   |
| Exp/Err Settlement Date           | 19/10/09                                      |
| Exp/Err Value Date                |   |
| Best Matching Instruction         |   |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0213416141 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AS     |
| CA Notification | 0000000065486558 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                           |
| Pool Reference                    |   |
| Function of Message               | New Message                                   |
| Instruction Type                  | Receive Free                                  |
| Activity Status                   | Settlement-Settled                            |
| ISIN Code                         | XS0229269856                                  |
| Common Code                       | 022926985                                     |
| Financial Instrument Description  | EUR 5,125 LEHMAN BROS. UK CAP.FUND.II(1)05-XX |
| Place of Safekeeping              | IRVTGB2XGPT                                   |
| Common Code Type                  | Single  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF  |
| Last Update                       | 19/10/09 16.06                                |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C       |
| Quantity of Financial Instrument  | 15 000  |
| Quantity Type                     | Face Amount                                   |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                      |
| Trade Date/Time                   | 19/10/09 0.00                                 |
| Closing Date                      |   |
| Priority                          | Normal Priority                               |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes   |
| Deal                              |   |
| Trano                             | CA18359                                       |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                           |
| Actual Settled Amount             |   |
| Exp/Eff. Settlement Date          | 19/10/09                                      |
| Exp/Eff. Value Date               |   |
| Best Matching Instruction         |   |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0229269856 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |



|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AV     |
| CA Notification | 0000000065486638 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Pool Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0220704109                            |
| Common Code                       | 022070410                               |
| Financial Instrument Description  | EUR 7 LEHMAN BROS TREAS CO BV 05-2017   |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16.06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 65.000                                  |
| Quantity Type                     | Face Amount                             |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0.00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Tramo                             | CA18357                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp/Eff Settlement Date           | 19/10/09                                |
| Exp/Eff Value Date                |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0220704109 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02MB9A0005B1     |
| CA Notification | 0000000065486546 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

Type of Settlement Transaction Securities Blocking  
Pool Reference  
Function of Message New Message  
Instruction Type Receive Free  
Activity Status Settlement-Settled  
ISIN Code IT0006578600  
Common Code 023985071  
Financial Instrument Description EUR 7 LEHMAN BROTHERS (FXR-FLR)05-2017  
Place of Safekeeping MOTITMMXXX  
Common Code Type Single  
Reason Narrative  
Sender's Reference NONREF  
Last Update 19/10/09 16 06  
Previous Reference  
Deal Reference  
Common Reference  
Safekeeping Account 83611 - BANCA ALETTI + C SPA-CLIENT A/C  
Quantity of Financial Instrument 140.000  
Quantity Type Face Amount  
Processing Indicator  
Requested Settlement Date 19/10/09  
Trade Date/Time 19/10/09 0.00  
Closing Date  
Priority Normal Priority  
Settlement Amount  
Currency  
Guaranteed Delivery No  
Deal Price  
Deal Price Currency  
Deal Price Format  
Taxable Income Per Share  
Taxable Income Per Share Currency  
Taxable Income Per Share Format  
FX Currency  
Delivery Without Matching Yes  
Deal  
Trans CA18361  
Common  
Transaction Indicator Settlement Activity  
Actual Settled Amount  
Exp./Ef. Settlement Date 19/10/09  
Exp./Ef. Value Date  
Best Matching Instruction

## Settlement Parties

Clearstream Depository [80] INTESABCI BANK SPA  
BIC Address BCITITMMXXX  
Place of Settlement CEDELULLXXX - Clearstream  
Physical Transaction No  
Immediate Release No  
Delivering Agent Clearstream  
Party 83611  
Description BANCA ALETTI + C SPA-CLIENT A/C  
Settlement Processing Narrative CONSBLOK IT0006578600 OAL CONSENT YOUR INX

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B4     |
| CA Notification | 0000000065487145 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0276162327                             |
| Common Code                       | 027616232                                |
| Financial Instrument Description  | EUR 0,00 LEHMAN BROS TREAS.CO.BV 06-2010 |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16.06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 102.000                                  |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0.00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Transac                           | CA18349                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp./Eff. Settlement Date         | 19/10/09                                 |
| Exp./Eff. Value Date              |  |
| Best Matching Instruction         |  |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0276162327 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B3     |
| CA Notification | 0000000065487595 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled  |                  |
| 19/10/09 16 06 |      | Processing | Accepted |                  |
| 19/10/09 16 06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

## Security Post Release

### Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                       |
| Pool Reference                    |   |
| Function of Message               | New Message                               |
| Instruction Type                  | Receive Free                              |
| Activity Status                   | Settlement-Settled                        |
| ISIN Code                         | XS0229584296                              |
| Common Code                       | 022958429                                 |
| Financial Instrument Description  | EUR 7,25 LEHMAN BROS TREAS (3171) 05-2035 |
| Place of Safekeeping              | IRVTGB2XGPT                               |
| Common Code Type                  | Single                                    |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                    |
| Last Update                       | 19/10/09 16.06                            |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C  |
| Quantity of Financial Instrument  | 25 000                                    |
| Quantity Type                     | Face Amount                               |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                  |
| Trade Date/Time                   | 19/10/09 0.00                             |
| Closing Date                      |   |
| Priority                          | Normal Priority                           |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No  |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                       |
| Deal                              |   |
| Trano                             | CA 18348                                  |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                       |
| Actual Settled Amount             |   |
| Exp./Eff. Settlement Date         | 19/10/09                                  |
| Exp./Eff. Value Date              |   |
| Best Matching Instruction         |   |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0229584296 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |



|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B0     |
| CA Notification | 0000000065486643 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0210433206                             |
| Common Code                       | 021043320                                |
| Financial Instrument Description  | EUR 6,00 LEHMAN BROS.TREAS.CO.BV05-2035  |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16 06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 8 000                                    |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0.00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trano                             | CA18351                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual Settled Amount             |  |
| Exp/Elf Settlement Date           | 19/10/09                                 |
| Exp/Elf Value Date                |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0210433206 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005B7     |
| CA Notification | 0000000065486549 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16:06 |      | Settlement | Settled  |                  |
| 19/10/09 16:06 |      | Processing | Accepted |                  |
| 19/10/09 16:06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16:06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

## Security Post Release

### Trade Details

|                                   |  |
|-----------------------------------|--|
| Type of Settlement Transaction    | Securities Blocking                      |
| Pool Reference                    |  |
| Function of Message               | New Message                              |
| Instruction Type                  | Receive Free                             |
| Activity Status                   | Settlement-Settled                       |
| ISIN Code                         | XS0232364868                             |
| Common Code                       | 023236486                                |
| Financial Instrument Description  | EUR 6,00 LEHMAN BROS.TREAS.CO.BV 05-2035 |
| Place of Safekeeping              | IRVTGB2XGPT                              |
| Common Code Type                  | Single                                   |
| Reason Narrative                  |  |
| Sender's Reference                | NONREF                                   |
| Last Update                       | 19/10/09 16:06                           |
| Previous Reference                |  |
| Deal Reference                    |  |
| Common Reference                  |  |
| Safekeeping Account               | 83611 - BANCA ALETTI + C. SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 2 000                                    |
| Quantity Type                     | Face Amount                              |
| Processing Indicator              |  |
| Requested Settlement Date         | 19/10/09                                 |
| Trade Date/Time                   | 19/10/09 0 00                            |
| Closing Date                      |  |
| Priority                          | Normal Priority                          |
| Settlement Amount                 |  |
| Currency                          |  |
| Guaranteed Delivery               | No                                       |
| Deal Price                        |  |
| Deal Price Currency               |  |
| Deal Price Format                 |  |
| Taxable Income Per Share          |  |
| Taxable Income Per Share Currency |  |
| Taxable Income Per Share Format   |  |
| FX Currency                       |  |
| Delivery Without Matching         | Yes                                      |
| Deal                              |  |
| Trans                             | CA18347                                  |
| Common                            |  |
| Transaction Indicator             | Settlement Activity                      |
| Actual/Settled Amount             |  |
| Exp./Eff. Settlement Date         | 19/10/09                                 |
| Exp./Eff. Value Date              |  |
| Best Matching Instruction         |  |

### Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C. SPA-CLIENT A/C           |
| Settlement Processing Narrative | CONSBLOK XS0232364868 OCE CONSENT YOUR INX |

### Settlement Parties

| Type                          | Format      | Party | Account |
|-------------------------------|-------------|-------|---------|
| Receiving Agent               | CLEARSTREAM | 83611 |         |
| <b>Additional Information</b> |             |       |         |
| Input Media                   | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AX     |
| CA Notification | 0000000065486642 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16.06 |      | Settlement | Settled |                  |

BSMauro Clearstream Banking - CreationOnline 16.40 CET

# Security Post Release

## Trade Details

|                                   |   |
|-----------------------------------|---|
| Type of Settlement Transaction    | Securities Blocking                     |
| Post Reference                    |   |
| Function of Message               | New Message                             |
| Instruction Type                  | Receive Free                            |
| Activity Status                   | Settlement-Settled                      |
| ISIN Code                         | XS0301086475                            |
| Common Code                       | 030108647                               |
| Financial Instrument Description  | UNT LEHMAN BROS.TREAS 040711            |
| Place of Safekeeping              | IRVTGB2XGPT                             |
| Common Code Type                  | Single                                  |
| Reason Narrative                  |   |
| Sender's Reference                | NONREF                                  |
| Last Update                       | 19/10/09 16 06                          |
| Previous Reference                |   |
| Deal Reference                    |   |
| Common Reference                  |   |
| Safekeeping Account               | 83611 - BANCA ALETTI + C SPA-CLIENT A/C |
| Quantity of Financial Instrument  | 651                                     |
| Quantity Type                     | Unit                                    |
| Processing Indicator              |   |
| Requested Settlement Date         | 19/10/09                                |
| Trade Date/Time                   | 19/10/09 0 00                           |
| Closing Date                      |   |
| Priority                          | Normal Priority                         |
| Settlement Amount                 |   |
| Currency                          |   |
| Guaranteed Delivery               | No                                      |
| Deal Price                        |   |
| Deal Price Currency               |   |
| Deal Price Format                 |   |
| Taxable Income Per Share          |   |
| Taxable Income Per Share Currency |   |
| Taxable Income Per Share Format   |   |
| FX Currency                       |   |
| Delivery Without Matching         | Yes                                     |
| Deal                              |   |
| Trans                             | CA18343                                 |
| Common                            |   |
| Transaction Indicator             | Settlement Activity                     |
| Actual Settled Amount             |   |
| Exp/El Settlement Date            | 19/10/09                                |
| Exp/El Value Date                 |   |
| Best Matching Instruction         |   |

## Settlement Parties

|                                 |  |
|---------------------------------|--|
| Clearstream Depository          | [6B] BKNY MELLON, LDN                      |
| BIC Address                     | IRVTGB2XGPT                                |
| Place of Settlement             | CEDELULLXXX - Clearstream                  |
| Physical Transaction            | No   |
| Immediate Release               | No   |
| Delivering Agent                | Clearstream                                |
| Party                           | 83611                                      |
| Description                     | BANCA ALETTI + C SPA-CLIENT A/C            |
| Settlement Processing Narrative | CONSBLOK XS0301086475 OCE CONSENT YOUR INX |

## Settlement Parties

| Type                   | Format      | Party | Account |
|------------------------|-------------|-------|---------|
| Receiving Agent        | CLEARSTREAM | 83611 |         |
| Additional Information |             |       |         |
| Input Media            | Internal    |       |         |

|         |                                      |           |
|---------|--------------------------------------|-----------|
| BSMauro | Clearstream Banking - CreationOnline | 16.40 CET |
|---------|--------------------------------------|-----------|

#### References

| Type            | Reference        |
|-----------------|------------------|
| Clearstream     | 02M89A0005AL     |
| CA Notification | 0000000065488554 |

#### History

| CET Timestamp  | User | Activity   | Status   | Reason/Narrative |
|----------------|------|------------|----------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled  |                  |
| 19/10/09 16.06 |      | Processing | Accepted |                  |
| 19/10/09 16.06 |      | Processing | Receipt  |                  |

#### Current Activity-Status

| CET Timestamp  | User | Activity   | Status  | Reason/Narrative |
|----------------|------|------------|---------|------------------|
| 19/10/09 16 06 |      | Settlement | Settled |                  |

**EXHIBIT 4**

Written Consent June 9, 2005



06/08/2005

16:41

LEHMAN → 916467582653

NO. 504

001

**UNANIMOUS WRITTEN CONSENT OF THE  
EXECUTIVE COMMITTEE OF THE  
BOARD OF DIRECTORS OF  
LEHMAN BROTHERS HOLDINGS INC.**

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

**WHEREAS**, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

**WHEREAS**, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

**WHEREAS**, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

**WHEREAS**, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

**WHEREAS**, Management wishes to establish additional Guaranteed Subsidiaries,

**WHEREAS**, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

**WHEREAS**, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

**NOW THEREFORE BE IT,**

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

DE. 05. 2005 25:11 LE-PH. - 216457522654  
25/05/2005 16:41 LE-PH. - 216457522653

NO. 290 004  
NO. 504 002

**RESOLVED**, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

**RESOLVED**, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, *provided* that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

**RESOLVED**, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

**RESOLVED**, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegate thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

**FURTHER RESOLVED**, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

  
Richard S. Fuld, Jr.

  
John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

NO. 504

003

**Schedule A**  
**to LBHI Unanimous Written Consent**  
**dated June 9, 2005**

|     | Name of Subsidiary                                  | Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable? |
|-----|---|---|
| 1.  | Lehman Brothers Asia Holdings Limited               | No  |
| 2.  | Lehman Brothers Bankhaus A.G.                       | Yes<br>(London branch of such subsidiary only)  |
| 3.  | Lehman Brothers Commercial Bank                     | No  |
| 4.  | Lehman Brothers Commercial Corporation              | No  |
| 5.  | Lehman Brothers Commercial Corporation Asia Limited | No  |
| 6.  | Lehman Brothers Equity Finance (Cayman) Limited     | No  |
| 7.  | Lehman Brothers Finance S.A.                        | No  |
| 8.  | Lehman Brothers Holdings Plc                        | Yes   |
| 9.  | Lehman Brothers International (Europe)              | Yes   |
| 10. | Lehman Brothers Japan Inc.                          | No  |
| 11. | Lehman Brothers (Luxembourg) Equity Finance S.A.    | No  |
| 12. | Lehman Brothers (Luxembourg) S.A.                   | No  |
| 13. | Lehman Brothers OTC Derivatives Inc.                | No  |
| 14. | Lehman Brothers Securities Asia Limited             | No  |
| 15. | Lehman Brothers Securities N.V.                     | No  |
| 16. | Lehman Brothers Special Financing Inc.              | No  |
| 17. | Lehman Brothers Treasury Co. B.V.                   | No  |
| 18. | Lehman Re Limited                                   | No  |



United States Bankruptcy Court/Southern District of New York

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Claims Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017

Banca Aletti & C. S.p.a.  
Tel. +390243358107  
Fax +390243358364  
E-mail :  
massimo.zerbino@alettibank.it

Ocotber 23, 2009

**BANCA ALETTI & C. S.P.A. - PROOF OF CLAIM AGAINST LEHMAN BROTHERS HOLDINGS INC.**

To: United States Bankruptcy Court/Southern District of New York





Enclosed please find two original copies of the proofs of claim hereby filed by Banca Aletti & C. S.p.a. against Lehman Brothers Holdings Inc., together with photocopies of the original proofs of claims. Please retain and time-stamp the original proofs of claim and please time-stamp and return the photocopies (time-stamped as "RECEIVED") by using the enclosed prepaid envelop.


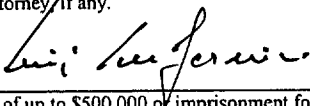
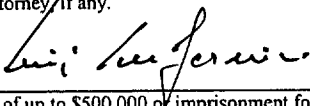
Kind regards,

Banca Aletti & C. S.p.A.  
General Manager  
Mr. Maurizio Zancanaro

**XTREMELY URGENT**

[illegible]

|  |  |   |  |
|--|--|---|--|
| SHIPMENT AWB No: <b>CPI 20:08:06 00215121</b>                                      |  | DESTINATION: <b>OR</b>  |  |
| <b>POST/ZIP CODE:</b><br>(J)J001 3041 0642 6000 9078                               |  |  |  |
| <b>Ref Code :</b><br>1/1   |  | <b>Date:</b> Shipment Date: Place<br>Day Time   |  |
| <b>Content Description:</b><br>LAYBILL 4973626TSS                                  |  | <b>Origin:</b><br>MIL   |  |
|  |  | <b>DOX</b>  |  |
|  |  | <b>10017, UNITED STATES</b>   |  |
|  |  | <b>R001 ZYP-TSS</b>   |  |
| <b>(2L)US10017*42090000</b>  |  | <b>DHL</b>  |  |
| <b>label</b>   |  | <b>OR</b>   |  |

|  |  |  |  |
|--|--|--|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076  |  | <b>LEHMAN SECURITIES PROGRAMS</b><br>Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000049792   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.   | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  |   |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-doctel.com">http://www.lehman-doctel.com</a> as of July 17, 2009   |  |  |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Banca Agricola Commerciale della Repubblica di San Marino S.p.A.<br>Via Oddone Scarito, 13<br>47893 Borgo Maggiore<br>Repubblica di San Marino<br>Attention: Mr. Stefano Cataldo   |  | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><b>Court Claim Number:</b> _____<br>(If known)<br><br><b>Filed on:</b> _____                           |  |
| Telephone number: +378 871286 Email Address: <a href="mailto:legale@bac.sm">legale@bac.sm</a>  |  | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.                                      |  |
| Name and address where payment should be sent (if different from above)<br><br>Telephone number: _____ Email Address: _____  |  |  |  |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.<br><b>Amount of Claim:</b> <u>See attached</u> (Required)<br><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. |  |  |  |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.<br><b>International Securities Identification Number (ISIN):</b> <u>See attached</u> (Required)  |  |  |  |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.<br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b><br><u>See attached</u> (Required)   |  |  |  |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.<br><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b><br><u>See attached</u> (Required)  |  |  |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.   |  | <div style="border: 1px solid black; padding: 5px; text-align: center;"><b>FOR COURT USE ONLY</b><br/><b>FILED / RECEIVED</b><br/><br/><b>OCT 27 2009</b><br/><br/><b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b></div> |  |
| <table border="1"><tr><td>Date:<br/>October<br/><u>23</u>, 2009</td><td>Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney if any.<br/><br/>Name: Luigi Lonfermini Title: President<br/></td></tr></table>  |  |  |  |
| Date:<br>October<br><u>23</u> , 2009   | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney if any.<br><br>Name: Luigi Lonfermini Title: President<br> |  |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571   |  |  |  |

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re: Chapter 11  
Lehman Brothers Holdings Inc., *et al.* Case No. 08-13555 (JMP)  
(Jointly Administered)  
Debtors.  
-----X

**ANNEX TO PROOF OF CLAIM OF  
BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO  
S.P.A.**

1. Claimant. Banca Agricola Commerciale della Repubblica di San Marino S.p.A. (the "**Claimant**"), hereby files the accompanying proof of claim (the "**Proof of Claim**") against Lehman Brothers Holdings Inc. (the "**Debtor**"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "**Petition Date**"), as described more fully below.

2. Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "**Obligor**") and guaranteed by the Debtor (each a "**Program Security**" and, together, the "**Program Securities**") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <http://www.lehman-docket.com> under the heading "Key Documents." The International Securities Identification Number ("**ISIN**") identifying each Program Security, along with the respective Euroclear Bank electronic instruction reference number and the Euroclear Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 3

attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

3. Claim. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,931,973.42, plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "**Claim**"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.

4. Security Interests and Priority Status. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.

5. Claims, Counterclaims, Setoffs and Defenses. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.

6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or



person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

7. Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

**EXHIBIT 1**

| <b>ISIN Code</b> | <b>Euroclear<br/>electronic<br/>instruction<br/>reference #</b> | <b>Euroclear<br/>account #</b> | <b>Sub-<br/>custodian<br/>account #</b> | <b>Issuer</b>                        | <b>Nominal<br/>Amount</b> |
|------------------|---|--------------------------------|---|--------------------------------------|---------------------------|
| XS0176153350     | 6034125   | Euroclear 12462                | 200301                                  | Lehman Brothers<br>Treasury Co. B.V. | € 40,000.00               |
| XS0181945972     | 6034129   | Euroclear 12462                | 200301                                  | Lehman Brothers<br>Treasury Co. B.V. | € 300,000.00              |
| XS0208459023     | 6034137   | Euroclear 12462                | 200301                                  | Lehman Brothers<br>Treasury Co. B.V. | € 500,000.00              |
| XS0211093041     | 6034139   | Euroclear 12462                | 200301                                  | Lehman Brothers<br>Treasury Co. B.V. | € 500,000.00              |

**EXHIBIT 2**

| ISIN Code                 | Nominal Amount (EUR) | Interest** (EUR) | Total (EUR) | Nominal Amount (USD) * | Interest (USD) *        | Total (USD) * |
|---------------------------|----------------------|------------------|-------------|------------------------|-------------------------|---------------|
| XS0176153350              | 40,000.00            | 1,137.07         | 41,137.07   | 56,604.00              | 1,609.07                | 58,213.07     |
| XS0181945972              | 300,000.00           | 7,176.54         | 307,176.54  | 424,530.00             | 10,155.52               | 434,685.52    |
| XS0208459023              | 500,000.00           | 11,177.10        | 511,177.10  | 707,550.00             | 15,816.71               | 723,366.71    |
| XS0211093041              | 500,000.00           | 5,765.05         | 505,765.05  | 707,550.00             | 8,158.12                | 715,708.12    |
| <b>Total Claim Amount</b> |                      |                  |             |                        | <b>USD 1,931,973.42</b> |               |

*\*Amounts due in EUR have been converted to US Dollars using the exchange reference rate published on Web Site of Banca D'Italia, section "Euro Foreign Exchange references rates as of 15 Sep 2008" ([www.bancaditalia.it/banca\\_centrale/cambi/rif/2008/09/cambi\\_rif\\_1509\\_en.htm](http://www.bancaditalia.it/banca_centrale/cambi/rif/2008/09/cambi_rif_1509_en.htm)) for September 15, 2008: 1 EUR = 1.4151 USD*

*\*\* Interest accrued until September 14, 2008 (included).*

**EXHIBIT 3**

Evidence of Ownership



BANCA AGRICOLA COMMERCIALE  
Della Repubblica di San Marino  
Via Oddone Scarito 13  
Borgo Maggiore  
RSM

Lugano, 08.10.2009

LEHMAN BROTHERS BONDS

Dear Sirs,

we hereby confirm you that , at present date, we have deposited on your behalf, on our 12462 account with Euroclear Bank, the securities of the attached list.

Best regards.

UNICREDIT (SUISSE) BANK SA

A handwritten signature in black ink, appearing to read 'Kuba'.

A handwritten signature in black ink, appearing to read 'Paolo'.

| ISIN CODE    | NAME                   | CURR | AMOUNT    | ACC. AT EUROCLEAR | BAC ACC. WITH UNICREDIT SUISSE |
|--------------|------------------------|------|-----------|-------------------|--------------------------------|
| XS0252835110 | LEHMAN BROS 2011 FLR   | EUR  | 5'250'000 | 12462             | 200301                         |
| XS0254171191 | LEHMAN BROS 2016 FLR   | EUR  | 950'000   | 12462             | 200301                         |
| XS0208469023 | LEHMAN BROS 2016 FLR   | EUR  | 500'000   | 12462             | 200301                         |
| XS0211093041 | LEHMAN BROS 2015 FLR   | EUR  | 500'000   | 12462             | 200301                         |
| XS0181945972 | LEHMAN BROS 2014 FLR   | EUR  | 300'000   | 12462             | 200301                         |
| XS0268648952 | LEHMAN BROS 2016 FLR   | EUR  | 250'000   | 12462             | 200301                         |
| XS0183944643 | 4.75 LEHMAN BROS 2014  | EUR  | 220'000   | 12462             | 200301                         |
| XS0189741001 | LEHMAN BROS 2011 FLR   | EUR  | 200'000   | 12462             | 200301                         |
| XS0252834576 | 4 LEHMAN BROS 2011     | EUR  | 170'000   | 12462             | 200301                         |
| XS0179304869 | LEHMAN BROS 2008 FLR   | EUR  | 56'000    | 12462             | 200301                         |
| XS0176153350 | LEHMAN BROS 2013 FLR   | EUR  | 40'000    | 12462             | 200301                         |
| XS0128857413 | 6 3/8 LEHMAN BROS 2011 | EUR  | 20'000    | 12462             | 200301                         |

**UniCredit**  
(Suisse) Bank s.a.

Lugano, 12.10.2009

*Kruza*

*Vicko L.*

Custody: Valid Instructions - 08/10/09 13:22:32

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+-----+
+          ACCOUNT 12462          + 08/10/09
+    VALIDATED INSTRUCTIONS      + 06:18
+    EUCLID VALIDATION DATE: 06/10/2009 +
+-----+
```

CUSTODY SERVICE

79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)  
20:YOUR...REFERENCE USER...NBR TIMESTAMP  
35B: SECURITY.....DESCRIPTION CUR EUCLID.STAT PARTSTAT  
77D:REGISTRATION (RG)  
87F:CORRESPONDENT (CO)  
88D:BENEFICIARY (BE)  
77R:CERTIFICATION (CE)  
80B:PROXY (PR)  
79:REC:REMARKS TO RECIPIENT (RR)  
72:EOC:REMARKS TO EOC

MESSAGES FROM EOC

79: 37- - 35A: 20000 30:051009 72: (6030507)  
20:LEHMAN 1 17:18:18  
35B:XS0128857413 LEHMAN BROTHERS HOL 6.37500 10/05/11 EUR IPRO (FUT )  
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA  
CONTACT SIMONA PACI 00378 871254 AMM.TITOLI AT BAC.SM  
72:EOC:P. ALESSANDRINI 0041 91 9109559

Custody: Valid Instructions - 08/10/09 13:21:44

```
+-----+
+          ACCOUNT 12462          + 08/10/09
+      VALIDATED INSTRUCTIONS      + 06:18
+  EUCLID VALIDATION DATE: 08/10/2009 +
+-----+
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CUSTODY SERVICE

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79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)
20:YOUR...REFERENCE USER....NBR TIMESTAMP
35B: SECURITY.....DESCRIPTION CUR EUCLID.STAT PARTSTAT
77D:REGISTRATION (RG)
87F:CORRESPONDENT (CO)
88D:BENEFICIARY (BE)
77R:CERTIFICATION (CE)
80B:PROXY (PR)
79:REC:REMARKS TO RECIPIENT (RR)
72:EOC:REMARKS TO EOC
```

MESSAGES FROM EOC

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79: 37- - 35A: 250000 30:071009 72: (6034150)
20:LEHMAN 12 16:15:18
35B:XS0268648952 LEHMAN BROTHERS HOL 4.25000 00/09/16 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 950000 30:071009 72: (6034146)
20:LEHMAN 11 16:14:45
35B:XS0254171191 LEHMAN BROTHERS HOL VAR 00/05/16 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 5250000 30:071009 72: (6034144)
20:LEHMAN 10 16:14:15
35B:XS0252835110 LEHMAN BROTHERS HOL VAR 04/05/11 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 70000 30:071009 72: (6034141)
20:LEHMAN 9 16:13:47
35B:XS0252834576 LEHMAN BROTHERS HOL 4.00000 04/05/11 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 500000 30:071009 72: (6034139)
20:LEHMAN 8 16:13:25
35B:XS0211093041 LEHMAN BROTHERS TRE 7.50000 16/02/15 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 500000 30:071009 72: (6034137)
20:LEHMAN 7 16:12:51
35B:XS0208459023 LEHMAN BROTHERS TRE 4.00000 30/12/16 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
72:EOC:WEBER SACHA 0041 91 910 9536

79: 37- - 35A: 200000 30:071009 72: (6034133)
20:LEHMAN 6 16:12:28
35B:XS0189741001 LEHMAN BROTHERS HOL VAR 00/04/11 EUR IPRO (FUT )
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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Custody: Valid Instructions - 08/10/09 13:21:44

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|                        |                              |
|------------------------|------------------------------|
| EMAIL LEGALE AT BAC.SM | STEFANO CATALDO 00378 871286 |
| 72:EOC:WEBER SACHA     | 0041 91 910 9536             |

|   |                              |
|---|------------------------------|
| 79: 37- - 35A: 220000 30:071009 72: (6034131)                         |                              |
| 20:LEHMAN 5 16:11:59  |                              |
| 35B:XS0183944643 LEHMAN BROTHERS HOL 4.75000 16/01/14 EUR IPRO (FUT ) |                              |
| 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA     |                              |
| EMAIL LEGALE AT BAC.SM  | STEFANO CATALDO 00378 871286 |
| 72:EOC:WEBER SACHA  | 0041 91 910 9536             |

|   |                              |
|---|------------------------------|
| 79: 37- - 35A: 300000 30:071009 72: (6034129)                         |                              |
| 20:LEHMAN 4 16:11:33  |                              |
| 35B:XS0181945972 LEHMAN BROTHERS TRE 7.00000 00/01/14 EUR IPRO (FUT ) |                              |
| 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA     |                              |
| EMAIL LEGALE AT BAC.SM  | STEFANO CATALDO 00378 871286 |
| 72:EOC:WEBER SACHA  | 0041 91 910 9536             |

|   |                              |
|---|------------------------------|
| 79: 37- - 35A: 56000 30:071009 72: (6034127)                      |                              |
| 20:LEHMAN 3 16:11:06  |                              |
| 35B:XS0179304869 LEHMAN BROTHERS HOL VAR 03/11/08 EUR IPRO (FUT ) |                              |
| 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA |                              |
| EMAIL LEGALE AT BAC.SM  | STEFANO CATALDO 00378 871286 |
| 72:EOC:WEBER SACHA  | 0041 91 910 9536             |

|   |                              |
|---|------------------------------|
| 79: 37- - 35A: 40000 30:071009 72: (6034125)                          |                              |
| 20:LEHMAN 2 16:10:28  |                              |
| 35B:XS0176153350 LEHMAN BROTHERS TRE 7.00000 10/10/13 EUR IPRO (FUT ) |                              |
| 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA     |                              |
| EMAIL LEGALE AT BAC.SM  | STEFANO CATALDO 00378 871286 |
| 72:EOC:WEBER SACHA  | 0041 91 910 9536             |

**EXHIBIT 4**

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO. 504

P01

**UNANIMOUS WRITTEN CONSENT OF THE  
EXECUTIVE COMMITTEE OF THE  
BOARD OF DIRECTORS OF  
LEHMAN BROTHERS HOLDINGS INC.**

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

**WHEREAS**, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

**WHEREAS**, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

**WHEREAS**, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

**WHEREAS**, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

**WHEREAS**, Management wishes to establish additional Guaranteed Subsidiaries,

**WHEREAS**, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

**WHEREAS**, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

**NOW THEREFORE BE IT,**

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

NO. 290 004  
NO. 504 002  
25/05/2005 16:41 E-PMN - 31223380294  
E-PMN + 31646702953

**RESOLVED**, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

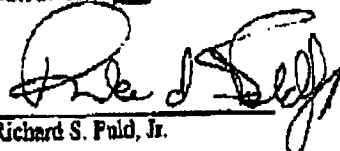
**RESOLVED**, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

**RESOLVED**, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

**RESOLVED**, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegate thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

**FURTHER RESOLVED**, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

  
Richard S. Fuld, Jr.

  
John D. Macomber

06/08/2005 16:41 LEHMAN + 916467582653

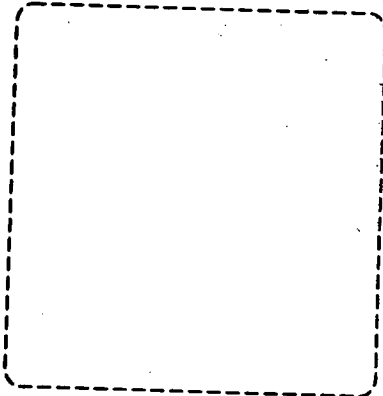



NO.504 003

**Schedule A**  
**to LBHI Unanimous Written Consent**  
**dated June 9, 2005**

|     | <b>Name of Subsidiary</b>                           | <b>Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?</b> |
|-----|---|--|
| 1.  | Lehman Brothers Asia Holdings Limited               | No   |
| 2.  | Lehman Brothers Bankhaus A.G.                       | Yes<br>(London branch of such subsidiary only)   |
| 3.  | Lehman Brothers Commercial Bank                     | No   |
| 4.  | Lehman Brothers Commercial Corporation              | No   |
| 5.  | Lehman Brothers Commercial Corporation Asia Limited | No   |
| 6.  | Lehman Brothers Equity Finance (Cayman) Limited     | No   |
| 7.  | Lehman Brothers Finance S.A.                        | No   |
| 8.  | Lehman Brothers Holdings Plc                        | Yes  |
| 9.  | Lehman Brothers International (Europe)              | Yes  |
| 10. | Lehman Brothers Japan Inc.                          | No   |
| 11. | Lehman Brothers (Luxembourg) Equity Finance S.A.    | No   |
| 12. | Lehman Brothers (Luxembourg) S.A.                   | No   |
| 13. | Lehman Brothers OTC Derivatives Inc.                | No   |
| 14. | Lehman Brothers Securities Asia Limited             | No   |
| 15. | Lehman Brothers Securities N.V.                     | No   |
| 16. | Lehman Brothers Special Financing Inc.              | No   |
| 17. | Lehman Brothers Treasury Co. B.V.                   | No   |
| 18. | Lehman Re Limited                                   | No   |

**EXTREMELY URGENT**

[illegible]

|   |  |                              |  |
|---|--|------------------------------|--|
| SHIPMENT AWR NO.  |  | CPI 16.01.09 08643212        | DESTINATION:<br>OR   |
|   |  |                              |  |
| EXPRESS WORLDWIDE (D)   |  | DOX                          |  |
| 10017, UNITED STATES  |  | US-ZYP-TSS                   |  |
| Origin:   |  | Day                          |  |
| ROI   |  | Date                         |  |
| PerShip Weight  |  | Pack                         |  |
| Account No  |  | Ref Code                     |  |
| 100339402   |  |                              |  |
| C006  |  |                              |  |
| Description   |  | Content                      |  |
|  |  | MAYBILL S3 0059 0400         |  |
|  |  | (2L) US10017+42000000        |  |
|  |  | (J) JD01 3041 0354 6008 2105 |  |

**United States Bankruptcy Court / Southern District Of New York**

Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

**LEHMAN SECURITIES PROGRAMS**

Filed: USBC - Southern District of New York

Lehman Brothers Holdings Inc., Et Al.

08-13555 (JMP)

0000050309



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

**UBS AG**  
677 Washington Blvd.  
Stamford, CT 06901  
Att'n: Bert Fuqua, Esq.  
Tel.: 203.719.4038  
Email: [bert.fuqua@ubs.com](mailto:bert.fuqua@ubs.com)

with copy to:  
Bingham McCutchen LLP  
399 Park Avenue  
New York, NY 10022  
Att'n: Joshua Dorchak, Esq.  
212.705.7784  
[joshua.dorchak@bingham.com](mailto:joshua.dorchak@bingham.com)

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(if known)

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number:

Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ To be determined - See attached Appendix and Schedule

☒ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): See attached Appendix and Schedule

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e., the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:  
See attached Appendix and Schedule

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:  
See attached Appendix and Schedule

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:  
  
October 28, 2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

**FOR COURT USE ONLY**

**FILED / RECEIVED**

OCT 28 2009

**EPIQ BANKRUPTCY SOLUTIONS, LLC**

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

**James B. Fuqua**  
Managing Director and Counsel  
Region Americas Legal

**David Kelly**  
Managing Director and Counsel  
Region Americas Legal

### INSTRUCTIONS FOR PROOF OF CLAIM FORM

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

### DEFINITIONS

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

#### Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

#### Lehman Brothers Holdings Claims Processing

c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076

#### Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

### INFORMATION

#### Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

#### Claims to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.



### Appendix

This Proof of Claim (this “**Claim**”) is filed by UBS AG (“**Claimant**”) against Lehman Brothers Holdings Inc. (“**Debtor**”).

This Claim is based on Debtor’s issuance or guarantee, as applicable, of the Program Securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant’s knowledge and beyond Claimant’s control. In each case, the Claim includes principal, accrued interest, any enhanced return on principal, and expenses to the extent permitted by the governing documents and applicable law.

Accordingly, the aggregate amount of this Claim is to be determined.

Because these securities are Lehman Program Securities, as defined in the Court’s July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other than that provided herein in support of this Claim.

### Reservation of Rights

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant’s rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant’s right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as “core proceedings” pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant’s right to have any and all final orders in any and all non-core matters or proceedings entered only after *de novo* review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

**Schedule**

**Program Securities held by Claimant:**

| <b>ISIN Number</b> | <b>Face Amount<br/>(Orig<br/>Currency)</b> | <b>Orig<br/>Currency</b> | <b>Face Amount<br/>(USD)</b> | <b>Euroclear Bank<br/>Electronic<br/>Instruction<br/>Reference Number</b> | <b>Euroclear<br/>Bank<br/>Account<br/>Number</b> |
|--------------------|--|--------------------------|------------------------------|---|--|
| CH0026915527       | 6755000                                    | CHF                      | \$6,019,259.82               | 6056973   | 91672  |
| CH0026985082       | 255000                                     | CHF                      | \$227,225.94                 | 6056972   | 91672  |
| XS0128857413       | 1233000                                    | EUR                      | \$1,740,910.89               | 6056971   | 91672  |
| XS0176153350       | 110000                                     | EUR                      | \$155,312.41                 | 6056970   | 91672  |
| XS0179304869       | 545000                                     | EUR                      | \$769,502.38                 | 6056969   | 91672  |
| XS0183944643       | 6052000                                    | EUR                      | \$8,545,006.25               | 6056968   | 91672  |
| XS0205185456       | 1079000                                    | EUR                      | \$1,523,473.52               | 6054005   | 91672  |
| XS0213416141       | 2634000                                    | EUR                      | \$3,719,026.18               | 6056967   | 91672  |
| XS0213899510       | 258000                                     | EUR                      | \$364,278.19                 | 6056966   | 91672  |
| XS0213971210       | 100000                                     | EUR                      | \$141,193.10                 | 6056965   | 91672  |
| XS0215349357       | 9000                                       | EUR                      | \$12,707.38                  | 6056964   | 91672  |
| XS0216921741       | 1000000                                    | EUR                      | \$1,411,930.97               | 6056963   | 91672  |
| XS0218304458       | 1000000                                    | EUR                      | \$1,411,930.97               | 6056962   | 91672  |
| XS0220152069       | 35000                                      | EUR                      | \$49,417.58                  | 6056961   | 91672  |
| XS0224346592       | 460000                                     | EUR                      | \$649,488.25                 | 6056960   | 91672  |
| XS0232364868       | 1000000                                    | EUR                      | \$1,411,930.97               | 6056959   | 91672  |
| XS0243852562       | 1500000                                    | EUR                      | \$2,117,896.46               | 6056958   | 91672  |
| XS0257022714       | 2000000                                    | EUR                      | \$2,823,861.95               | 6056957   | 91672  |
| XS0268648952       | 13050000                                   | EUR                      | \$18,425,699.21              | 6056956   | 91672  |
| XS0272543900       | 2000000                                    | EUR                      | \$2,823,861.95               | 6056955   | 91672  |
| XS0282937985       | 100000                                     | EUR                      | \$141,193.10                 | 6056954   | 91672  |
| XS0282978666       | 270000                                     | EUR                      | \$381,221.36                 | 6056953   | 91672  |
| XS0283497005       | 5256000                                    | EUR                      | \$7,421,109.20               | 6056952   | 91672  |
| XS0287044969       | 50000                                      | EUR                      | \$70,596.55                  | 6056951   | 91672  |
| XS0293166517       | 3000000                                    | EUR                      | \$4,235,792.92               | 6056950   | 91672  |
| XS0307745744       | 300000                                     | EUR                      | \$423,579.29                 | 6056948   | 91672  |
| XS0326006540       | 5550000                                    | EUR                      | \$7,836,216.90               | 6056947   | 91672  |
| XS0360242910       | 1500000                                    | EUR                      | \$2,117,896.46               | 6056946   | 91672  |
| XS0361644098       | 500000                                     | EUR                      | \$705,965.49                 | 6056945   | 91672  |
| XS0252834576       | 200000                                     | EUR                      | \$282,386.19                 | 6056944   | 91672  |
| XS0281520352       | 2754000                                    | EUR                      | \$3,888,457.90               | 6056943   | 91672  |
| XS0210414750       | 152000                                     | GBP                      | \$269,648.15                 | 6056942   | 91672  |
| XS0251195847       | 5000000                                    | GBP                      | \$8,870,004.80               | 6056941   | 91672  |
| XS0259010022       | 500000                                     | USD                      | \$500,000.00                 | 6056908   | 91672  |
| XS0342095881       | 1900000                                    | USD                      | \$1,900,000.00               | 6056498   | 91672  |
| XS0346438657       | 1000000                                    | USD                      | \$1,000,000.00               | 6054490   | 91672  |



Amount of Claim: TO BE DETERMINED, including principal, accrued interest, any enhanced returns on principal, and expenses to the extent permitted by the governing documents and applicable law. For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

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*Ken E. Lamy*  
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10/28/09  
DATE

309  
TIME

| United States Bankruptcy Court/Southern District of New York   |  | LEHMAN SECURITIES PROGRAMS<br>PROOF OF CLAIM  |  |
|--|--|---|--|
| Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |  | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000050827   |  |
| In Re:<br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.   | Chapter 11<br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  |    |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009   |  |   |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from creditor)   |  | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><b>Court Claim Number:</b> _____<br>(If known)                    |  |
| Banca Popolare di Puglia e Basilicata S.C.p.A.<br>Via Ottavio Serena, 15<br>70022 Altamura (Bari)<br>Italy<br>Attention: Mr. Renato Quaranta   |  | Filed on: _____   |  |
| Telephone number: +39 080 8710342 Email Address: <a href="mailto:tesoreria.integrata@bancapopolaredipugliaebasilicata.it">tesoreria.integrata@bancapopolaredipugliaebasilicata.it</a>  |  | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. |  |
| Name and address where payment should be sent (if different from above)  |  |   |  |
| Telephone number: _____ Email Address: _____   |  |   |  |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.<br><b>Amount of Claim:</b> <u>See attached</u> (Required)<br><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. |  |   |  |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.<br><b>International Securities Identification Number (ISIN):</b> <u>See attached</u> (Required)  |  |   |  |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.<br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b><br><u>See attached</u> (Required)   |  |   |  |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.<br><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b><br><u>See attached</u> (Required)  |  |   |  |
| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.   |  | <div>FOR COURT USE ONLY</div> <div>FILED / RECEIVED</div> <div>OCT 28 2009</div> <div>EPIQ BANKRUPTCY SOLUTIONS, LLC</div>  |  |
| Date:<br>October 27 <sup>th</sup> , 2009   | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.<br>Name: Raffaele D'Ecclesiis Title: President  |   |  |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571   |  |   |  |

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re:

Chapter 11

Lehman Brothers Holdings Inc., *et al.*

Case No. 08-13555 (JMP)

(Jointly Administered)

Debtors.  
-----X

**ANNEX TO PROOF OF CLAIM OF  
BANCA POPOLARE DI PUGLIA E BASILICATA S.C.P.A.**

1. Claimant. Banca Popolare di Puglia e Basilicata S.C.p.A. (the "**Claimant**"), hereby files the accompanying proof of claim (the "**Proof of Claim**") against Lehman Brothers Holdings Inc. (the "**Debtor**"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "**Petition Date**"), as described more fully below.

2. Transactions Between the Parties. The Claimant is the holder and is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "**Obligor**") and guaranteed by the Debtor (each a "**Program Security**" and, together, the "**Program Securities**") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <http://www.lehman-docket.com> under the heading "Key Documents." The International Securities Identification Number ("**ISIN**") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank, account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 3 attached hereto. The Program Securities have the benefit of an express, unconditional and

irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

3. Claim. The Claimant is the holder and is authorized to act on behalf of the holders of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ **1,228,803.16**, plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "**Claim**"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.

4. Security Interests and Priority Status. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.

5. Claims, Counterclaims, Setoffs and Defenses. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.

6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

7. Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

**EXHIBIT 1**

| <b>ISIN Code</b> | <b>Clearstream blocking<br/>reference #</b> | <b>Clearstream<br/>Account #</b> | <b>Sub-custodian<br/>account #</b> | <b>Iusser</b>                    | <b>Nominal<br/>Amount</b> |
|------------------|---|----------------------------------|------------------------------------|----------------------------------|---------------------------|
| XS0176153350     | CA81609                                     | 81999                            | 99/1/5385/23                       | Lehman Brothers Treasury Co. B.V | € 60,000.00               |
| XS0195431613     | CA81618                                     | 81999                            | 99/1/5385/23                       | Lehman Brothers Treasury Co. B.V | € 25,000.00               |
| XS0211093041     | CA81648                                     | 81999                            | 99/1/5385/22                       | Lehman Brothers Treasury Co. B.V | € 400,000.00              |
| XS0213971210     | CA81657                                     | 81999                            | 99/1/5385/22                       | Lehman Brothers Treasury Co. B.V | € 360,000.00              |

| <b>ISIN Code</b> | <b>Monte Titoli blocking<br/>reference #</b> | <b>Monte Titoli Account #</b> | <b>Iusser</b>                    | <b>Nominal<br/>Amount</b> |
|------------------|--|-------------------------------|----------------------------------|---------------------------|
| IT0006578600     | 82032999                                     | 5385.00                       | Lehman Brothers Treasury Co. B.V | € 10,000.00               |



**EXHIBIT 2**

| <b>ISIN CODE</b>          | <b>Nominal<br/>Amount<br/>(FOREIGN<br/>CURRENCY)</b> | <b>Interest **<br/>(FOREIGN<br/>CURRENCY)</b> | <b>Total<br/>(FOREIGN<br/>CURRENCY)</b> | <b>Nominal<br/>Amount<br/>(USD) *</b> | <b>Interest<br/>(USD) *</b> | <b>Total<br/>(USD) *</b> |
|---------------------------|--|---|---|---------------------------------------|-----------------------------|--------------------------|
| IT0006578600              | € 10,000   | € 196.63                                      | € 10,196.63                             | 14,201.00                             | 279.23                      | 14,480.23                |
| XS0176153350              | € 60,000   | € 1,710.25                                    | € 61,710.25                             | 85,206.00                             | 2428.73                     | 87,634.73                |
| XS0195431613              | € 25,000   | € 211.18                                      | € 25,211.18                             | 35,502.50                             | 299.90                      | 35,802.40                |
| XS0211093041              | € 400,000  | € 4,624.66                                    | € 404,624.66                            | 568,040.00                            | 6,567.48                    | 574,607.48               |
| XS0213971210              | € 360,000  | € 3,550.68                                    | € 363,550.68                            | 511,236.00                            | 5,042.32                    | 516,278.32               |
| <b>Total Claim Amount</b> |  |   |   |                                       | <b>USD \$ 1,228,803.16</b>  |                          |

*\* Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the Bloomberg for September 15, 2008: 1 Eur = 1.4201 USD*

*\*\* Interest accrued until September 14, 2008 (included)*

**EXHIBIT 3**

Evidence of Ownership

23. Ott. 2009 16:00

Nr. 2947 P. 1



Lehman Brothers Holdings Inc. Chapter 11, Case No. 08-13555 (JMP) (Jointly Administered)

### STATEMENT

Istituto Centrale delle Banche Popolari S.p.A., represented by Mr. LUCIANO MEROSO, in his capacity as Deputy Manager, having its registered office in Milan, Corso Europa 18, in order to allow its depositor holding Lehman Programs Securities, as defined by the order entered on July 2, 2009 by the U.S. Bankruptcy Court for Southern District of New York, to file a proof of claim against Lehman Brothers Holdings Inc.

### CERTIFIES

That, at the date of October 23, 2009, Banca Popolare di Puglia e Basilicata s.c.p.a., having its registered office in Altamura (BA), via Ottavio Serena 13 is the depositor of the Lehman Programs Securities listed below and that Clearstream Bank, at our request, assigned to each Lehman Programs Security a blocking reference number, as stated below.

| Issuer               | ISIN Code    | Currency | Nominal Amount | Clearstream Blocking # | Clearstream Account # | ICBPI Account # |
|----------------------|--------------|----------|----------------|------------------------|-----------------------|-----------------|
| LEHMAN 10/10/13 LKD  | XS0176153350 | EUR      | 60,000.00      | CA81609                | 81999                 | 99/1/5385/23    |
| LEHMAN 03/11/08 TV   | XS0179304869 | EUR      | 80,000.00      | CA81611                | 81999                 | 99/1/5385/23    |
| LEHMAN 5/4/11 TV     | XS0189741001 | EUR      | 25,000.00      | CA81613                | 81999                 | 99/1/5385/23    |
| LEHMAN 21/05/09 TV   | XS0193036358 | EUR      | 45,000.00      | CA81614                | 81999                 | 99/1/5385/23    |
| LEHMAN 14/7/14 7%    | XS0195431613 | EUR      | 25,000.00      | CA81618                | 81999                 | 99/1/5385/23    |
| LEHMAN 09/11/09 TV   | XS0205185456 | EUR      | 20,000.00      | CA81642                | 81999                 | 99/1/5385/23    |
| LEHMAN 09/03/15 4%   | XS0213899510 | EUR      | 60,000.00      | CA81655                | 81999                 | 99/1/5385/23    |
| LEHMAN 20/07/12 TV   | XS0224346592 | EUR      | 660,000.00     | CA81663                | 81999                 | 99/1/5385/23    |
| LEHMAN 10/12 5.375%  | XS0326006540 | EUR      | 50,000.00      | CA81669                | 81999                 | 99/1/5385/23    |
| LEHM TSY 2/15 S/D TM | XS0211093041 | EUR      | 400,000.00     | CA81648                | 81999                 | 99/1/5385/22    |
| LEHMAN 18/03/15 TV   | XS0213971210 | EUR      | 360,000.00     | CA81657                | 81999                 | 99/1/5385/22    |

ISTITUTO CENTRALE DELLE BANCHE POPOLARI SpA  
Capogruppo del Gruppo ICBPI

Sede Sociale e Direzione Generale in Milano: Corso Europa, 18 - CAP 20122 - Tel +39 02 7705 1 - Fax +39 02 7705 346

Offici di Roma: Via Elio Chianci 110/1 - CAP 00128 - Tel +39 06 45485 1 - Fax +39 06 45485 440  
Capitale Sociale Euro 37.883.700,00 i. v. - Iscritto all'Albo delle Banche e dei Gruppi Bancari n. 5000.5

Aderente al fondo interbancario di tutela dei depositi

Reg. Imp. MI e Cod. Fisc. 00410710586 - Part. IVA 13212880150 - R.E.A. 318847 - e-mail: [informazioni@icbpi.it](mailto:informazioni@icbpi.it) - [www.icbpi.it](http://www.icbpi.it)

23. Ott. 2009 16:01

Nr. 2947 P. 2



Yours faithfully,  
Istituto Centrale delle Banche Popolari S.p.A.


A handwritten signature in dark ink, appearing to read 'Luciano Meroso', is written over a horizontal line.

Luciano Meroso  
Deputy Manager

Milan, 23 October 2009

Messaggio/i 717

Pagina 1 di 1

|  |             |                            |      |
|--|-------------|----------------------------|------|
| <b>IL GRUPPO BORSA ITALIANA</b>  |             |                            |      |
| Borsa Italiana   | Bit Systems | CC&G                       | PAGS |
|  <b>Monte Titoli</b><br><small>CENTRO ELETTRONICO</small> |             | <b>717 Richiesta Saldi</b> |      |

PAG: C1



|                             |             |
|-----------------------------|-------------|
| Codice                      | Riferimenti |
| 5385.00                     |             |
| POP.PUGLIA BASIL.           |             |
| UFFICIO CONTABILITA'FINANZA |             |
| VIA O. SERENA 13            |             |
| 70022 ALTAMURA (BA)         |             |

717 Richiesta Saldi

VI TRASMETTIAMO LA POSIZIONE DEL VOSTRO CONTO TITOLI  
AL 19/10/09.

| Codice          | Descrizione         | Codice/Divisa | Saldo contabile | Segno | Saldo disponibile | Segno |
|-----------------|---------------------|---------------|-----------------|-------|-------------------|-------|
| IT0006578600.00 | LEHMAN BR. 05/17 TM | EUR           | 10000.00        | C     | 10000.00          | C     |

**MONTE TITOLI S.p.A.**  
CENTRO ELETTRONICO

[Disclaimer] [Copyright]

**EXHIBIT 4**

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO. 504 001

**UNANIMOUS WRITTEN CONSENT OF THE  
EXECUTIVE COMMITTEE OF THE  
BOARD OF DIRECTORS OF  
LEHMAN BROTHERS HOLDINGS INC.**

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

**WHEREAS**, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

**WHEREAS**, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

**WHEREAS**, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

**WHEREAS**, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

**WHEREAS**, Management wishes to establish additional Guaranteed Subsidiaries,

**WHEREAS**, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

**WHEREAS**, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

**NOW THEREFORE BE IT,**

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

DE. 05. 2005 25:11 E-MAN - 31645752963  
25/05/2005 16:41 E-MAN + 31645752963

NO. 290 004  
NO. 504 002

**RESOLVED**, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

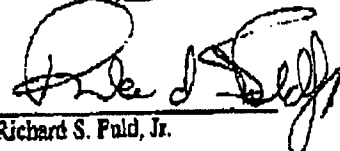
**RESOLVED**, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

**RESOLVED**, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

**RESOLVED**, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegee thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof, and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

**FURTHER RESOLVED**, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

  
Richard S. Fald, Jr.

  
John D. Macomber



06/08/2005

16:41

LEHMAN → 916467582653

NO.504

003

**Schedule A**  
**to LBHI Unanimous Written Consent**  
**dated June 9, 2005**

|     | Name of Subsidiary                                  | Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable? |
|-----|---|---|
| 1.  | Lehman Brothers Asia Holdings Limited               | No  |
| 2.  | Lehman Brothers Bankhaus A.G.                       | Yes<br>(London branch of such subsidiary only)  |
| 3.  | Lehman Brothers Commercial Bank                     | No  |
| 4.  | Lehman Brothers Commercial Corporation              | No  |
| 5.  | Lehman Brothers Commercial Corporation Asia Limited | No  |
| 6.  | Lehman Brothers Equity Finance (Cayman) Limited     | No  |
| 7.  | Lehman Brothers Finance S.A.                        | No  |
| 8.  | Lehman Brothers Holdings Plc                        | Yes   |
| 9.  | Lehman Brothers International (Europe)              | Yes   |
| 10. | Lehman Brothers Japan Inc.                          | No  |
| 11. | Lehman Brothers (Luxembourg) Equity Finance S.A.    | No  |
| 12. | Lehman Brothers (Luxembourg) S.A.                   | No  |
| 13. | Lehman Brothers OTC Derivatives Inc.                | No  |
| 14. | Lehman Brothers Securities Asia Limited             | No  |
| 15. | Lehman Brothers Securities N.V.                     | No  |
| 16. | Lehman Brothers Special Financing Inc.              | No  |
| 17. | Lehman Brothers Treasury Co. B.V.                   | No  |
| 18. | Lehman Re Limited                                   | No  |

Track this shipment via the DHL Web Site: <http://www.dhl.com>

**Payee account number and insurance details**

Charge To: ☒ Shipper ☐ Recipient ☐ Other party

Payee Account No.: 10430983

☐ Insured ☐ Not insured

Insured value: \$

Insured until: 12/31/99

Shipper's account no.: 104350801

Shipper's reference no.: 23 (maximum 12 characters; last 12 will be shown in manifest)

Company name: GE. PO. USA

Address: STRAUN NATIONAL OVEST, 10

City: COLLECCHIO (PR)

Country: Italy

Reference Code (required): 43044

Phone, Fax or E-Mail (required): +39055323011

To (recipient):

Company name: DEUT BANKAUFST SOLUTIONS LLC

Delivery address: DEU, cannot deliver to a PO Box

ATT: LEHMAN BROTHERS HOLDINGS

PLANNING PROCESSING

757 THIRD AVENUE 3RD FLOOR

NEW YORK NY

Country: United States of America

Postcode: 10017

City: New York

State: NY

Zip: 10017

Country: United States of America

Postcode: 10017

City: New York

State: NY

Zip: 10017

Country: United States of America

**DHL Connect**

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DESTINATION CODE

ZYP

TSS

PRIME & SERVICES

EXPRESS WORLDWIDE

DOX

SERVICE Options (some charges may vary)

CHARGES

Other

Insurance

WT

COLLECT

COLLECT

COLLECT

COLLECT

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# VERY URGENT

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DHL's liability shall not exceed US\$100 for any shipment. See conditions of service.

|   |   |   |  |
|---|---|---|--|
| <b>United States Bankruptcy Court/Southern District of New York</b><br>Lehman Brothers Holdings Claims Processing Center<br>c/o Epiq Bankruptcy Solutions, LLC<br>FDR Station, P.O. Box 5076<br>New York, NY 10150-5076   |   | <b>LEHMAN SECURITIES PROGRAMS<br/>PROOF OF CLAIM</b>  |  |
| <b>In Re:</b><br>Lehman Brothers Holdings Inc., et al.,<br>Debtors.   | <b>Chapter 11</b><br>Case No. 08-13555 (JMP)<br>(Jointly Administered)  | Filed: USBC - Southern District of New York<br>Lehman Brothers Holdings Inc., Et Al.<br>08-13555 (JMP) 0000050861<br> |  |
| Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-claim.com">http://www.lehman-claim.com</a> as of July 17, 2009  |   |   |  |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)<br><br>Swisscanto (LU) Portfolio Funds Management Company S.A.<br>1, Place de Metz, L-1930 Luxembourg<br>(after Nov. 11 new address: 69 route d'Esch, L-1470 Luxembourg)   |   | <input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.<br><br>Court Claim Number: _____<br>(If known)<br><br>Filed on: <u>10/28/09</u>                    |  |
| Telephone number: +41 58 344 49 00 Email Address: <u>compliance@swisscanto.ch</u>   |   | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.                               |  |
| Name and address where payment should be sent (if different from above)   |   |   |  |
| Telephone number: _____ Email Address: _____  |   |   |  |
| <b>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</b><br><b>Amount of Claim: \$ <u>at least 47,808,109.49</u> (Required) (see attached Annex)</b><br><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. |   |   |  |
| <b>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</b><br><b>International Securities Identification Number (ISIN): <u>XS0292528311</u> (Required)</b>  |   |   |  |
| <b>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your account holder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</b><br><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: <u>see enclosed list (attachment 2) Point 3</u> (Required)</b>   |   |   |  |
| <b>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your account holder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</b><br><b>Account holders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: <u>16316</u> (Required)</b>  |   |   |  |
| <b>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository:</b> By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.   |   | <b>FOR COURT USE ONLY</b><br><b>FILED / RECEIVED</b><br><b>OCT 28 2009</b><br><b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b>   |  |
| <b>Date:</b><br><u>21-10-09</u>   | <b>Signature:</b> The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.<br><br><u>G. Fischer</u><br>Dr. Gérard Fischer<br>Chairman |   |  |
| <b>Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571</b>   |   | <u>Ralf C. Branda</u><br>Ralf C. Branda<br>Member Board of Directors  |  |



#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

**Creditor's Name and Address:**

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

**Date and Signature:**

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS

**Debtor**

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

**Creditor**

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

**Claim**

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

**Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

**Lehman Brothers Holdings Claims Processing**  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150- 5076

**Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

#### INFORMATION

**Acknowledgment of Filing of Claim**

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

**Offers to Purchase a Claim**

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.



**Annex to Proof of Claim Form of Swisscanto (LU) Portfolio Funds Management Company S.A.**

In addition to the statements set forth on its proof of claim form and in the documents attached hereto and/or submitted in accordance with the applicable procedures, Swisscanto (LU) Portfolio Funds Management Company S.A. ("**Swisscanto**") states as follows in support of its claim against the Debtor:

1. In addition to the amount of its claim, Swisscanto asserts a claim for any and all interest (including default interest), costs, fees, charges and expenses (including attorneys' fees) due and owing or that may become due and owing or otherwise allowable in connection with its claim.

2. Swisscanto is filing this proof of claim in anticipation of the claims bar date (the "**Bar Date**"), which has been set as November 2, 2009, pursuant to the Court's July 2, 2009 Order pursuant to Section 502(b)(9) of the Bankruptcy Code and Bankruptcy Rule 3003(c)(3) Establishing the Deadline for Filing Proofs of Claim, Approving the Form and Manner of Notice Thereof and Approving the Proof of Claim Form. Swisscanto reserves the right to amend, modify, and/or supplement this proof of claim at any time, including, without limitation, for the purpose of asserting additional claims, whether arising from the transactions or documents described in Swisscanto's proof of claim, this attachment, or otherwise. Swisscanto also reserves its rights to assert any and all rights of setoff that it may have against the Debtor in respect of its claims, including, without limitation, the right to set off its claims against any claims that the Debtor (or any successor, assignee or person claiming through the Debtor, as the case may be) may assert against Swisscanto or its successors or assigns, whether or not arising under the transactions set forth in this proof of claim. Swisscanto also reserves its right to pursue claims (including, but not limited to, the claims described herein) against the Debtor based upon additional or alternative legal theories. Swisscanto also reserves the right to assert administrative expense claims.

3. Swisscanto reserves its right to seek to have the reference withdrawn with respect to the subject matter of these claims, any objection or other proceedings commenced with respect thereto, or any other proceedings commenced in this case or otherwise involving Swisscanto. By filing this proof of claim, Swisscanto does not intend to submit to the jurisdiction of the Bankruptcy Court for any purpose other than the determination and allowance of this claim.

4. All notices and any distribution with respect to this proof of claim should be sent to:

ARNOLD & PORTER LLP  
399 Park Ave, 34<sup>th</sup> Fl.  
New York, NY 10022  
Attn: Anthony Boccanfuso  
Telephone number: (212) 715-1000  
Facsimile: (212) 715-1399





**Attachement 1 Claim (incl. nominal and interests) Lehman Exposure of Portfolio Funds Management Company S.A. as of 15.09.08**

**Claims in USD**

| Fund                                  | ISIN         | Nominal                  | Intrest | Interest            | Exposure Total       |
|---------------------------------------|--------------|--------------------------|---------|---------------------|----------------------|
| SW (LU) Portfolio Fund Growth (EUR)   | XS0292528311 | USD 567'560.00           | 6.301%  | 35'761.83           | 603'321.83           |
| SW (LU) Portfolio Fund Balanced (EUR) | XS0292528311 | USD 1'986'460.00         | 6.301%  | 125'166.40          | 2'111'626.40         |
| SW (LU) Portfolio Fund Yield (EUR)    | XS0292528311 | USD 993'230.00           | 6.301%  | 62'583.20           | 1'055'813.20         |
| SW (LU) Portfolio Fund Yield          | XS0292542484 | USD 18'467'303.06        | 3.483%  | 643'244.21          | 19'110'547.27        |
| SW (LU) Portfolio Fund Balanced       | XS0292542484 | USD 17'396'734.77        | 3.483%  | 605'954.69          | 18'002'689.46        |
| SW (LU) Portfolio Fund Growth         | XS0292542484 | USD 6'691'051.83         | 3.483%  | 233'059.50          | 6'924'111.33         |
| <b>Total Claims in USD</b>            |              | <b>USD 46'102'339.66</b> |         | <b>1'705'769.83</b> | <b>47'808'109.49</b> |

|                 |                         |
|-----------------|-------------------------|
| EUR WMCO Curncy | CHF WMCO Curncy         |
| Date 15.09.2008 | PX_LAST Date 15.09.2008 |
|                 | PX_LAST 1.1209          |

